FOREWORD

The basic purpose of this plan is to provide a guide for emergency operations. The plan is intended to assist key officials and emergency organisations to carry out their responsibility for the protection of life and property under a wide range of emergency conditions.

When disasters threaten or strike a jurisdiction, people expect elected leaders to take immediate action to deal with the problem. Local government is expected to marshal its resources, channel the efforts of voluntary agencies and private enterprise in the community, and solicit assistance from outside the jurisdiction, if necessary.

This is the Emergency Action Plan for Pothundy Dam. It assigns responsibility to organizations and individuals for carrying out specific actions in emergencies exceeding to the capacity or routine responsibility of any agency. The plan sets forth lines of authority and organizational relationships and shows how coordination should be achieved. The Plan describes how people and property will be protected and identifies personnel and resources available within the districts involved, or by agreement with others, for use during response and recovery operations.

Although an organization may have the foresight to plan for anticipated situations, such planning is of little worth if the planning is not reduced to written form. Personnel familiar with unwritten plans may be unavailable at the time it becomes necessary to implement them. A written plan will furnish a documentary record, which can be referred to as needed. This documentary record will serve to refresh the knowledge of key individuals and can be used to inform persons who become replacements.

Primary goals of Emergency Action Plan are:

- Protect life and property and alleviate human distress.
- Ensure that the public safety and welfare is maintained by coordinating aid.
- Improve emergency response through planning and education.
- Define roles of Departments and agencies for large and small emergencies.
- Develop effective response and coordination among district agencies.
- Promote mobilization with less duplication of effort or gaps.
- Outline access to resources within corresponding districts.
- Encourage partnerships between government, private and volunteer sectors.
- Outline the recovery and restoration process (resilience).

We wish to place on record our heartful thanks to Dr. Vishwas Mehta IAS, Additional Chief Secretary, Home & Water Resources for his support and encouragement throughout.
We also wish to place our sincere gratitude to Dr. B. Ashok IAS, Secretary, Water Resources for his constant advice and inspiration. We personally thank all field Engineers including Superintending Engineer, Siruvani Project Circle, Palakkad, Executive Engineer, Irrigation Division, Malampuzha, Assistant Executive Engineer, Irrigation Sub Division, Nenmara and Assistant Engineer, Head Works Section, Pothundy for their efforts in drafting this document with all the inputs. We personally thank all the members of SPMU for their efforts in addressing the issues that was raised time to time and which required intellectual input and strategic thinking. Finally, we personally thank Sri. Rajesh S, Assistant Director, Dam Safety for his painstaking efforts to finalise the document on a time bound manner and without whose contribution this proposal would not have materialized.

Chief Engineer (I&D)  
IDRB, Vikas Bhavan  
Thiruvananthapuram

Chief Engineer  
Projects – I  
Kozhikode
PREFACE

The Pothundy dam is constructed across the Ayilur river which is a tributary of the Gayathri river, which in turn merges with the longest river in Kerala, Bharathapuzha. The Ayilur river is formed by the confluence of two streams, namely Padipuzha and Meenchadipuzha, both of which rise from the Nelliyampathy ranges of the Western Ghats. The Pothundy project is situated about 8 km from Nemmara town along the Nemmara - Nelliyampathy road. The project consists of (a) An earthen dam across the Meenchadipuzha and Padipuzha about 400m above the confluence, (b) A masonry spillway at the right flank of the dam and other appurtenant works like sluices, (c) Two main canals to irrigate 4986 Ha of land in Chittoor and Alathur taluks of Palakkad district.

The dam is a homogenous earth dam with a length of 1680m having a base width of 133.8m and have a storage capacity of 50.914 Mm3 It is the tallest earth dam in the state with a height of 32.61m from the deepest bed level. The dam has a rock toe filter which at its time of construction was the first of its kind in India. At the right flank of the dam there is a gravity type spill way with a Ogee curve. The spillway has three gates of level shutters and has a design discharge capacity of 682.44 Cumecs.

The command area of this project lies in the villages of Pothundy, Kairady, Nemmara, Thiruvazhiyad, Ayilur, Vallangy, Thekkethara, Vadakkethara, Chittilencherry, Cheramangalam and Vandazhi.

The EAP is prepared using the Inundation map developed by Central Project Management Unit (CPMU) for the following three causes of flooding : (1) A dam failure caused by overtopping from the inflow design flood leading to breaching and uncontrolled release of impounded water, (2) A non-flood dam failure caused by internal erosion (piping) with the reservoir at full supply level leading to breaching and uncontrolled releases of impounded waste and (3) A large controlled release flood without dam failure. The villages which can suffer a significant impact are Nemmara, Kaippenchery, Thiruvizhiyad, Thalavettampara, Ayalur, Kadampidi, Choramkulam, Chittilamchery, Vandazhi, Mudappallur, Mangalam palam, Kizhakkencheri and Vadakkenchery
Emergency Action Plan for Pothundy Dam was published in July 2019. This is the…….
Revision in ………… as updated in ………

Disclaimer

Every effort has been taken to estimate the severity of flooding and inundation areas likely to be affected by Pothundy Dam in an emergency condition. These estimates are based on available primary and secondary data. Every effort has been made to foresee varied emergency possibilities and develop appropriate notification procedures for timely rescue and relief operations. However, implementation of the Emergency Action Plan (EAP) involves many agencies, who are required to work in a coordinated manner to reduce the consequences of the emergency triggered by the dam site condition. Effectiveness of the rescue and relief operations depend on many factors including the adequacy and accuracy of the estimation of the severity of flooding, coordinated efforts of all the agencies involved in rescue and relief efforts and availability of facilities like power telephones, road communications, etc. EAP Developer may therefore, not be held responsible for the efficacy of the EAP.

Chief Engineer
Projects - I,
Kozhikode
Mobile No: 9447332645
Office No: 0495 2385595
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Annexure 9 – Plan Review and Update
Annexure 10 – Training Record
Annexure 11 – List of Officials for Communication
This Emergency Action Plan has been prepared by State Project Management Unit in collaboration with Pothundy Dam Officials. This version of the document is hereby approved. This plan is effective immediately and supersedes all previous editions.

I have received a copy of this Emergency Action Plan and concur with the notification procedures.

I have received a copy of this Emergency Action Plan and concur with the notification procedures.

I have received a copy of this Emergency Action Plan and concur with the notification procedures.
# Pothundy Dam

**Project ID Code** [KL07HH0023]

## EAP Distribution List

A copy of the EAP has been provided to the following people:

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<tr>
<th>Authority</th>
<th>Name, Title, Phone</th>
<th>Address</th>
<th>Acceptance Signature</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dam Owner(s) and Representatives</td>
<td>Chief Engineer&lt;br&gt;Mobile No: 9447332645&lt;br&gt;Office No: 0495 2385595</td>
<td>Office of the Chief Engineer, Projects – I, Kozhikode&lt;br&gt;Email – <a href="mailto:cep1kkd@gmail.com">cep1kkd@gmail.com</a></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Name : Superintending Engineer&lt;br&gt;Email : <a href="mailto:sespcpkd@yahoo.co.in">sespcpkd@yahoo.co.in</a>&lt;br&gt;Mobile No: 94473 96043&lt;br&gt;Office No: 0491-2577425</td>
<td>Siruvani Project Circle Palakkad&lt;br&gt;Email- <a href="mailto:sespcpkd@yahoo.co.in">sespcpkd@yahoo.co.in</a></td>
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<tr>
<td>District Collector, Palakkad</td>
<td>District Collector&lt;br&gt;Mobile No: 8547610100&lt;br&gt;Office No: 0491-2505266</td>
<td>Office of the District Collector Civil Station Kenathuparambu, Kunathurmedu, Palakkad, Kerala <a href="mailto:Email-depdkd@kerala.nic.in">Email-depdkd@kerala.nic.in</a></td>
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<tr>
<td>State Dam Safety Organisation</td>
<td>Chief Engineer, IDRB&lt;br&gt;Mobile No: 94477801759&lt;br&gt;Office No: 0471-2784001&lt;br&gt;<a href="mailto:idrbtvm@gmail.com">idrbtvm@gmail.com</a></td>
<td>Office of the Chief Engineer (I&amp;D), IDRB, Vikas Bhavan Thiruvananthapuram Email: <a href="mailto:idrbtvm@gmail.com">idrbtvm@gmail.com</a></td>
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<tr>
<td></td>
<td>Director (Designs), IDRB&lt;br&gt;Mobile No: 9446685757&lt;br&gt;Office No: 0471-2303972</td>
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<tr>
<td>Central Dam Safety Organisation</td>
<td>Chief Engineer&lt;br&gt;Mobile No: 9717333808&lt;br&gt;Office No: 011-26106848</td>
<td>Sewa Bhavan, Sector 1, RK Puram, New Delhi, Delhi 110066</td>
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<td>CWC Library Buildings, RK Puram, New Delhi, Delhi 110066</td>
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<tr>
<td>State Emergency Operation Center, Kerala Disaster Management Authority</td>
<td>Member Secretary&lt;br&gt;Email : <a href="mailto:keralasdma@gmail.com">keralasdma@gmail.com</a>&lt;br&gt;Mobile No: 9400202927&lt;br&gt;Office No: 0471-2331345</td>
<td>Observatory Hills Museum, Vikas Bhavan P O Thiruvananthapuram, Kerala 695033</td>
<td></td>
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<tr>
<td>National Disaster Management Authority</td>
<td>Advisor&lt;br&gt;Email: <a href="mailto:advopscomm@ndma.gov.in">advopscomm@ndma.gov.in</a>&lt;br&gt;Mobile No: 011-26701886&lt;br&gt;E-mail: <a href="mailto:controlroom@ndma.gov.in">controlroom@ndma.gov.in</a></td>
<td>NDMA Bhawan,A-1, Safdarjang Enclave, New Delhi -110029</td>
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<tr>
<td>District Police Chief</td>
<td>District Police Chief, Palakkad&lt;br&gt;Mobile No: 9497996977&lt;br&gt;Office No: 0491-2534011</td>
<td>Office of the District Police Chief, Palakkad Pudupalli Thervu, Nurani, Palakkad Email: <a href="mailto:sppkd.pol@keral.gov.in">sppkd.pol@keral.gov.in</a></td>
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<tr>
<td>The District Fire and Rescue Chief</td>
<td>Fire and Rescue Chief, Palakkad&lt;br&gt;Phone No: 0491-2505701</td>
<td>Fire and Rescue services Civil Station (P.O) Palakkad Email: <a href="mailto:dopkd.frs@kerala.gov.in">dopkd.frs@kerala.gov.in</a></td>
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<tr>
<td>The District Health Officers</td>
<td>The District Medical Officer&lt;br&gt;Ph No: 0491-2505264&lt;br&gt;Mobile: 9946105487</td>
<td>2 nd floor, Civil Station, Palakkad</td>
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<tr>
<td>Taluk Offices,</td>
<td>Contact: Tahsildar, Palakkad&lt;br&gt;Ph No: 0491-2505770&lt;br&gt;Mobile: 944735012</td>
<td>Taluk Office, Palakkad Email: <a href="mailto:tahrpkd.rev@kerala.gov.in">tahrpkd.rev@kerala.gov.in</a></td>
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<tr>
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<td>Office No: 04923-224740</td>
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Pothundy Dam

Project ID Code [KL07HH0023]

Log Sheet of Changes

The following changes have been made to the EAP and revisions have been provided to the people shown on the EAP Distribution List.

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1. Purpose

The purpose of this Emergency Action Plan (EAP) is to identify emergency situations that could threaten Pothundy Dam and to plan for an expedited, effective response to prevent failure of the dam and warn downstream residents of impending danger. This plan defines the notification procedures to be followed in the event of a potentially hazardous situation. The procedures are intended to protect lives and prevent property damage from an excessive release of water from the dam spillways or an uncontrolled outflow of water from the breached portion of dam.

2. Dam Description

2.1. General

Pothundy Dam and Reservoir are owned and operated by Irrigation Department, Kerala. The dam was constructed across Ayilur River a tributary of Gayathri river, which in turn merges with the longest river in Kerala, Bharathapuzha. The dam is located at about 8 km from Nenmara town along the Nenmara – Nelliyampathy road. The Project was started in 1958 and completed in 1967 and the project envisages irrigating an ayacut of 4986 Ha. The Pothundy dam is a homogenous earth dam having a water spread area of 2.75 Sq Km.

A vicinity map showing the location of the dam is presented in Annexure 1. Inundation maps showing the areas subject to flooding as a result of a dam failure are provided in Annexure 2. The inundation area is described in further detail in the Inundation Area section of the report. Lastly, a description of the dam, its spillways, and other features are outlined in the Dam Description in Annexure 7.

2.2. Reservoir Operations

<table>
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<td>MWL</td>
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<td>TBL</td>
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<td>MDDL</td>
<td>91.44 m</td>
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<td>Crest of Spillway</td>
<td>104.09 m</td>
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<tr>
<td>Dead storage Capacity</td>
<td>7.014 Mm³</td>
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</tbody>
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Gross storage Capacity : 50.914 Mm$^3$
Revised Peak Flood : 875 Cumecs (SPF)
Type of Spillway : Ogee Spillway
Type of Gate : Vertical Lift Gate
No of Bays : 3 Gates of 12.91 m (H) X 4.11 m (W) each
Spillway Capacity : 682.44 m$^3$/s

Regulation of Spillway Shutters :

The Assistant Engineer in charge of the dam shall be responsible for the operation of the spillway shutters. All the water reaching the reservoir from the catchment shall be impounded until the level in the reservoirs reaches about two feet below the full reservoir level. Daily readings of the reservoir level shall be taken at 8:30 am. All the data regarding the reservoir shall be sent to the Assistant Executive Engineer, Executive Engineer, Superintending Engineer, Chief Engineer and to Dam Safety Head Quarters from time to time. When the water level is raising and reaches 105.204 m the water level shall be noted every 6 hours. When the level reaches 107.204 m the level shall be noted every two hours. When the level reaches 107.604 m the water level shall be noted every hour and the inflow rates should be computed.

When the reservoir level is raising rapidly it is expected that the spillway shutters may have to be opened to let down the flood water, timely flood warning shall be given to the people in the downstream areas.

When the water level reaches 107.204 m the Assistant Engineer in charge of the dam shall give the first warning by sending message (SMS/Email) “Pothundy Reservoir level at 107.204 m only one metre below Full Reservoir Level” to the following officers:-

1. The District Collector, Palakkad
2. The District Police Chief, Palakkad
3. The Tahsildar, Chittur
4. The Addl.Tahsildar, Chittur

When the level is nearing 107.604 m, the Assistant Engineer shall closely watch the inflow rate, rise in the water level etc and obtain further instructions from the Assistant Executive Engineer regarding the opening of the spillway shutter.

When the reservoir level reaches 107.604 m, the following messages (SMS/Email) “Pothundy Reservoir level at 107.604 m shutter being opened” shall be sent to the following officers :-

1. The District Collector, Palakkad
2. The District Police Chief, Palakkad
3. Responsibilities

3.1. Dam Owner's Responsibilities

The Dam Owner, Irrigation Department is responsible for all dam operation and maintenance. This EAP is not intended to designate a specific person for a specific responsibility but instead will designate the person's duties or job description for both, before and during an Emergency event (Table 1)

Table – 1 : Dam Owner's Responsibilities

<table>
<thead>
<tr>
<th>Officer Designation</th>
<th>Responsibilities (During Preparedness and Emergency Events)</th>
</tr>
</thead>
</table>

**Preparedness Responsibilities:**

- Coordinate routine inspections and Dam's Operations.
- Ensure effective transmission of hydro-metrological and stream flow data through different means.
- Ensure proper accessibility to all vulnerable points for constant monitoring during emergency situations.
- Identify primary and secondary communication systems, both internal (between persons at the dam) and external (between dam personnel and outside entities).
- Provide security measures at the dam (CCTV surveillance, security guards, fencing).
- Ensure the availability of adequate staff at dam site during holidays, nights and round the clock in weekdays.
- Ensure that the EAP is functional and staffs are familiar with their responsibilities.
- Ensure that a signboard is installed and clearly visual in different locations at dam site and operation room, with the most common evidence of distress and corresponding
levels of alert and remedial actions.

- Ensure all the equipment/means at dam site to response to an emergency are easily accessible and well maintained (generators, vehicles, lanterns, radios, heavy equipment, etc)

- Ensure the installation and proper maintenance of a warning system (sirens, horns) in the critical areas within the floodplain (less than 2 hours of wave arrival time)

- Ensure the current approved version of the EAP is available to all relevant stakeholders (those who have a functional role in the emergency response)

- Ensure all necessary means to manage the emergency response are available and operative in the Emergency Operation Center.

- Participate in exercises for test/improvement of this EAP.

- In charge of organizing publicity at strategic points in Dam. area limited for forewarning people on opening of gates.

**During Emergency Responsibilities:**

- Ensure a continuous and reliable communication with dam site officers

- Receive and assess any distress condition as notified by site engineers, observer or regular inspection.

- Classify the incident/distress condition reported by the observer into the different Emergency Levels (Blue, Orange, Red) based on the ANNEXURE 4 (Emergency Level Determination/Action Sheets) and ANNEXURE 2 (Inundation Maps)

- Initiate/implement the Emergency Action Plan and the Emergency Operation Centre if it is deemed necessary

- Identify the areas that would be potentially impacted by the emergency events.

- Provide updates of the situation to the District(s) Disaster Management Authority to assist them in making timely
and accurate decisions regarding warnings and evacuations.

- Propagate the emergency information to other relevant stakeholders.
- Support the communication needs of local emergency authority.

<table>
<thead>
<tr>
<th>Dam Site Engineers (Assistant Executive Engineer, Assistant Engineers, Junior Engineers)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Preparedness Responsibilities:</strong></td>
</tr>
<tr>
<td>• Monitor &amp; surveillance of dam and appurtenant structures looking for evidence of</td>
</tr>
<tr>
<td>distress as mentioned in Annexure 4</td>
</tr>
<tr>
<td>• Conduct Pre and Post monsoon Inspections under the direction of the Emergency</td>
</tr>
<tr>
<td>Planning Manager</td>
</tr>
<tr>
<td>• Inform the Emergency Planning Manager about any irregular/unusual condition at</td>
</tr>
<tr>
<td>dam site and keep him/her posted about any progression/change</td>
</tr>
<tr>
<td>• Operate dam's gates/under sluices, under the express direction of Chief Engineer</td>
</tr>
<tr>
<td>/ Superintending Engineer / Executive Engineer</td>
</tr>
<tr>
<td>• Conduct routine dam maintenance</td>
</tr>
<tr>
<td>• Collect instrumentation measurements</td>
</tr>
<tr>
<td>• Ensure effective working conditions of the warning system (Sirens)</td>
</tr>
<tr>
<td>• Participate in exercises for test/improvement of this EAP</td>
</tr>
</tbody>
</table>

| **During Emergency Responsibilities:**                                               |
| • Monitor the emergency event at dam site and keep posted the Emergency Planning   |
|   Manager about any change in development                                            |
| • Contact the supplier / contractors                                                |
| • Supervise the work of the labour contractors and machineries engaged in the site |
|   for rehabilitation / remedial works                                                |
| • Conduct the remedial actions as per Action Data Sheets                             |
Preparedness Responsibilities:

- Ensure an annual review of the EAP
- Coordinate the annual / regulator testing events of the EAP, such as tabletop exercises, mock drills, stakeholder’s consultation.
- Coordinate training events in problem detection, evaluation and appropriate corrective measures
- Supervise the functioning of control room and ensure to be well equipped with all type of information to facilitate the rescue and relief operations.
- Ensure proper access to site at the earliest possible
- Ensure that all related machinery / equipment are in running conditions and can be deployed as per requirement at emergency site
- Formation of Purchase Committee / Committee in consultation with Chief Engineer for Procurement of Material from Appropriate Source through Spot purchase
- Ensure the correctness of Gauge Discharge sites under his control every year well before monsoon

During Emergency Responsibilities:

- Provide decision support and technical support to Emergency Planning Manager as appropriate
- Have a constant liaison with Indian Meteorological Department (IMD) during emergency periods related with flood events
- Advise the Emergency Planning Manager of the emergency level determination, if time permits
- Disseminate information and make contact to utilize media as appropriate at the time of emergency on behalf of Chief
Engineer, Projects - II

**Preparedness Responsibilities:**

- Assist the Dam Owner's officers in preparation of Action Data Sheets *(Annexure - 4)*
- Recommend specific actions in order to improve the readiness of emergency actions
- Support and Monitor the remedial construction activities such as earth moving, special investigations, etc.
- Decide depending upon the quantum of repair/reconstruction work, whether the work is to be got executed through large construction firms or purely through the department or small contractors.
- Undertake an engineering assessment of the safety hazard at the dam in collaboration with the State Dam Safety Organization

**Chief Engineer**

**During Emergency Responsibilities:**

- Advice the dam's Emergency Planning Manager / Superintending Engineer with the emergency level determination, if time permits.
- Advice the dam's Emergency Planning Manager / Superintending Engineer with remedial actions to take if Blue / Orange events occurs, and if time permits.
- Direct specific and appropriate procedures to open/close the spillway's gates during the reservoir operation.
- Play the role of "Public Affair's Officer" in case external / public notifications should be released.
- Keep close watch on the different activities being carried out by different agencies at the time of emergency
3.2. Dam Safety Organization's Responsibilities

The following are the basic emergency planning and response roles and responsibilities for the dam safety authorities (State and National level). The Dam Safety Organization (DSO) is the first point of contact for BLUE alert

- Is the responsibility of the Dam Safety Organizations undertaking an engineering assessment of the safety hazard at the dam.
- The DSO may inspect the Dam at its discretion and inform the Emergency Planning Manager if Pothundy Dam is considered to be at BLUE alert.
- The DSO may advise the Dam Owner / Emergency Planning Manager of remedial actions to take if BLUE / ORANGE events occur.
- The DSO may have an active role in ORANGE / RED levels of alert. The DSO may advise the Dam Owner/Emergency Planning Manager of the emergency level determination.
- A DSO's officer may be called on to be the Subject Matter Expert at the Emergency Operation / Response Center.
- The DSO is responsible for reviewing and accepting the Emergency Action Plan, before its final publication.
- State Dam Safety Organization shall constitute a Dam Safety Review Panel consisting of engineers, geologist and hydrologist to analyse the distress conditions of Dam periodically.
- Support for the preparation of asset management plans, emergency preparedness plans, emergency warning systems, flood plain mapping, preparation of flood inundation maps in different areas for the river Basin and downstream impact mitigation measures
- Focus on legal, regulatory and technical frameworks for dam safety assurance
- Participate / Conduct a public hearing program before finalization of the Emergency Action Plan

3.3. Responsibilities for Notification

After an event level has been determined appropriate notifications should be made in accordance with the corresponding notification Flow Chart provided in this EAP (See Notification Flowcharts Tab). These Notification Flowcharts list the names and contact information and identifies who is to be notified of a dam safety incident, by whom, and in what order. Alternate contacts and their confirmed telephone number is also listed, in case primary contact is unavailable. Each official listed in the notification flowcharts should be informed immediately if warning is issued.
familiar with it and immediately notify the Emergency Planning Manager in case of cessation of his / her functions within the organization.

### Table 2- Responsibilities for Notification

<table>
<thead>
<tr>
<th>Officer Designation</th>
<th>Responsibilities During Emergency Events</th>
</tr>
</thead>
<tbody>
<tr>
<td>Executive Engineer (Emergency Planning Manager)</td>
<td>• Notify the District Disaster Management Authorities (District Collector) in case of Orange / Red alert</td>
</tr>
<tr>
<td></td>
<td>• Notify the District Authorities about any emergency response actions at dam site and their impacts in the downstream area (e.g. large releases)</td>
</tr>
<tr>
<td></td>
<td>• Assist the District Collectors / Relief Authorities involved in the emergency response actions with information about condition at dam site</td>
</tr>
<tr>
<td></td>
<td>• Where the residences located immediately downstream of a dam that would be inundated within minutes of a dam failure, wherein the available warning time is very limited, in that cases, Emergency Planning Manager will arrange to notify the residences directly without waiting for the local administration to act upon before an emergency situation develops.</td>
</tr>
<tr>
<td>Dam Site Engineers (Assistant Executive Engineer, Assistant Engineers)</td>
<td>• Keep inform the Emergency Planning Manager about the progress of the situation at dam site</td>
</tr>
<tr>
<td></td>
<td>• Notify Dam Safety Organization and request technical advice as required.</td>
</tr>
<tr>
<td></td>
<td>• Notify / inform higher authorities on the mishap as per notification flow chart of particular alert level as per situation at site.</td>
</tr>
<tr>
<td></td>
<td>• Notify / inform media representatives about the emergency situation.</td>
</tr>
<tr>
<td></td>
<td>• Define emergency situations for which each medium will be utilized and include an example of a news release that would be the most effective for each possible emergency, avoiding disseminate false / overstated messages among the population.</td>
</tr>
</tbody>
</table>
- Implement the Notification Flow chart for regional and State Disaster Management Contacts
- Contact Local Law Enforcement Authorities and Relief Authorities under their jurisdiction
- Liaising and coordinating with Early Warning Agencies like IMD, CWC, INCOIS, etc. for disaster specific information and disseminating the information for coordinating with the State Government, and facilitating the deployment of NDRF in the disaster affected districts during disaster.
- Issue public announcements in coordination with Dam Owner's officials and media representatives about the status of the emergency event

- Notify downstream residents in vulnerable areas.
- Provide to the District Disaster Management Authority precise and accurate feedback information about the progress of relief actions and advise when the emergency can be terminated.
- Notify to their corresponding command the necessity to deploy more resources to attend the rescue and relief actions.

- Disseminate wide public awareness during emergency condition of Dam through Social Media Platform such as Facebook, Twitter, Whatsapp & Instagram.
- The news media, including radio, television and newspapers, should be utilized to the extent available and appropriate.
- Pre-plan in coordination with Chief Engineer / Superintending Engineer the most effective way to disseminate the most delicate and common emergency situations among the population. Pre-defined news shall be available in order to improve readiness of the decision-making process.
3.4. Responsibilities for Evacuation

Evacuation and relief actions are exclusive responsibilities of Districts Authorities, and emergency relief forces at local and state level. For Pothundy Dam, Palakkad District / Panchayats / villages would be directly affected by a potential failure / emergency event at the dam site, and therefore, each District's representative is responsible for evacuation / relief actions in their jurisdiction.

District Collector(s) acting as District's Disaster Management Authority is responsible to coordinate actions along with the following specialised teams / forces: Police and Fire Departments, National Disaster Management Authority (Response Force), Civil Defence, Army Forces.

Within their responsibilities are:

**UNDER NO EMERGENCY (Preparedness)**

- Participate in review, updates and exercises of the EAP.
- Dissemination among the population making them aware about their own risks.
- Conduct training / education programs among the population in regard how to act before, during and after emergency events such as flash floods.

**UNDER ORANGE ALERT**

- Prepare emergency response personnel for possible evacuations that may be needed if a RED alert is declared.
- Provide resources as necessary to the dam owners.
- Serve as the primary contact responsible for coordination of all emergency actions for potentially affected communities.
- Consider drafting a State of Local Emergency in preparation for RED alert.
- Maintain close liaison with the district and the State Governments as well as the nearest units of Armed Forces / Central Police Organizations and other relevant Central Government organizations like Ministries of Communications, Water Resources, Health, Drinking Water, Surface Transport, who could supplement the efforts of the district administration in the rescue and relief operations.
- Decide in coordination with the Emergency Planning Manager when to terminate the Emergency.

### Table 3 Districts Potentially affected by an Emergency Event in Pothundy Dam

<table>
<thead>
<tr>
<th>Sl. No.</th>
<th>District Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Palakkad</td>
</tr>
</tbody>
</table>
UNDER RED ALERT

- Initiate warnings and order evacuation of people under vulnerable areas as per inundation maps (Annexure 2)
- Direct local emergency response services (may include local law enforcement) to carry out the evacuation of people and close roads/crossings within the evacuation area (see local Evacuation Plan, Annexure 2 and Annexure 3 tables).
- Declare a State of Local Emergency if required.
- Provide resources as necessary to the dam owners.
- Decide in coordination with the Emergency Planning Manager when to terminate the Emergency.

Police Department(s) Responsibilities

- Warn the public under vulnerable areas in their jurisdiction as per inundation maps (Annexure 2).
- Secure and control access to evacuated areas.
- Install barricades in the affected bridges and crossings as per Annexure 3 flood hazard values
- Assist in conducting rescue and recovery operation as required.
- Ensure proper access to the emergency services
- Prioritize the vehicle movement to the emergency site
- Control the traffic and divert through alternative routes.
- Ensure no unauthorized persons entering into the emergency site.
- Permit with minimum delay the entry of authorized personnel and recognized outside agencies, vehicles etc. involved in the emergency operations that come to help.
- Any other responsibility as entrusted by the high officers.

Fire Department(s), Army & Navy Forces Responsibilities

- Assist in evacuation operations and initiate the evacuation of impact areas in cooperation with Emergency Management Agency and Police Department.
- Request mutual aid for boats and initiate rescue of trapped residents as needed.
- Supply special equipment/teams to support rescue operations (e.g. Helicopters, divers, off-road and amphibious vehicles)

3.5. Responsibilities for Termination and Follow-Up

Once EAP operations have begun under BLUE, ORANGE or RED alerts levels, the EAP operations must eventually be terminated and follow-up procedures completed. EAP operations can only be terminated after completing operations under RED or BLUE alert levels. If ORANGE Event Level is declared, the operations must be designated RED Event Level or BLUE before terminating the EAP operations.

Please check the Action data Sheets (Annexure 4) for further details in when to declare an emergency event terminated. Table - 4 below shows the main responsibilities in the termination and follow-up process.

Table 4– Termination and Follow-Up Responsibilities

<table>
<thead>
<tr>
<th>Officer Designation</th>
<th>Responsibilities (Termination and Follow Up)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Executive Engineer (Emergency Planning Manager)</td>
<td>- Declare the termination of the emergency operations in coordination with District Disaster Management Authority and Relief / Response Forces.</td>
</tr>
<tr>
<td></td>
<td>- Conduct a review process of the EAP procedures.</td>
</tr>
<tr>
<td></td>
<td>- Identify EAP procedures that were followed effectively, as well as any ways that the EAP could be improved.</td>
</tr>
<tr>
<td></td>
<td>- Identify the causes that could have triggered the emergency event and propose actions to improve readiness and early detection. Support from the Dam Safety Organization may be requested in this regard.</td>
</tr>
<tr>
<td></td>
<td>- For Major Emergencies, the Emergency Planning manager will maintain detailed records of cost expended and will prepare a detailed report in this regard.</td>
</tr>
<tr>
<td>Superintending Engineer / Chief Engineer</td>
<td>- Ensure that all parties that participated in the EAP activities are involved in the review process.</td>
</tr>
<tr>
<td></td>
<td>- Impose a time frame within which the EAP review is to be completed. Propose any ways that the EAP could be improved.</td>
</tr>
<tr>
<td></td>
<td>- Present the final results of the EAP evaluation in a documented report to Government.</td>
</tr>
</tbody>
</table>
• Ensure that there is no danger of spread of any epidemics or water borne diseases after the floods.

Dam Safety Organisation (State / Central level)

• Identify in coordination with the Emergency Planning Manager the causes that could have triggered the emergency event and propose actions to improve readiness and early detection. A report should be presented to the dam owner's authorities in this regard.

District(s) - All districts - and National Disaster Management Authority

• Declare the termination of the emergency operations in coordination with Emergency Planning Manager and Relief / Response Forces.

• Identify EAP procedures that were followed effectively, as well as any ways that the EAP could be improved.

4. COMMUNICATIONS NETWORKS

Local officials and downstream residents will be notified by landline telephone, if available; otherwise via cell phones or emergency personnel (in person or using their radios). The various networks for emergency use include the networks of the following:

• District Collector / District Disaster Management Authority

• Indian Meteorological Department

• Central Water Commission

• All affected Districts Police and Fire Departments (See Table 3)

• State Disaster Management Authority

• Army / Navy Forces

Sample public announcements appear in Annexure 6 and internal suggested phone messages are also available in each notification flow chart. Verification or authentication of the situation can be made by contacting the Emergency Planning Manager and the corresponding District Disaster Management Officials (See District-wise Notification Flow Charts Tab). Television, Radio and bulk SMS facilities of the local Mobile Network Operators can be used as much as possible to notify area residents of the possible dangers.

As per notification responsibilities (Section 3.3) public announcements are to be issued by the concerned District Disaster Management officials and the Chief Engineer in coordination with media representatives.
5. EMERGENCY DETECTION, EVALUATION, AND CLASSIFICATION

5.1. Emergency Detection

5.1.1. Situations

Many dam conditions can lead to emergency situations, not all of which will require the implementation of the EAP. However, if any of them occur, the appropriate actions must be taken. **Annexure 4** of this EAP shows the most common emergency situations that may emerge in Pothundy Dam and appurtenances, along with the corresponding specific actions to be done by each of the dam's officials. Some of these emergency situations are summarized as follows:

Severe Storms / Inclement Weather: Although generally not in themselves a threat to the dam, severe storms and other inclement weather conditions can contribute to an existing problem and hinder any remediation efforts. Severe storms also cause the uncontrolled release of floodwater and increase flow in already rain-swollen areas.

Tropical cyclones: Tropical cyclones do occur in the area, with the potential for structural damage to the dam, possibly resulting in its failure. If a tropical cyclone has struck in the area, an inspection of the dam for any signs of damage will be appropriate.

Earthquakes: Pothundy Dam is located in the Seismic Zone Number III. This zone is classified as Moderate Damage Risk Zone which is liable to MSK VII. and also 7.8. The IS code assigns zone factor of 0.16 for Zone III. Therefore, an earthquake is a possibility, and appropriate post-earthquake inspections should be performed.

Sabotage: A threat to damage the dam has been made. Appropriate actions must be taken to protect the dam.

5.1.2. Signs of Failure

Site engineers in coordination with the Executive Engineer (Main Dam Division) are responsible for conducting routine inspections and identifying conditions that could indicate the onset of problems leading to a dam failure. The early identification of potentially dangerous conditions can allow time for the implementation of the EAP. The following sections describe some of the different types of failure which could lead to a dam failure.

- **Seepage Failure**: Although all earthen embankments allow some minor seepage through the dam or the foundation, excessive, uncontrolled seepage can result in piping (the movement of embankment material in the seepage flow) and lead to failure. Piping can occur for years at a slow rate. If the piping has progressed to a dangerous level, it will be evident by increased flow or the discharge of muddy water (or both). At that stage, immediate action to stop the piping is needed. Fully developed piping is difficult to control and is very likely to result in failure. A
whirlpool in the reservoir is a sign of uncontrollable piping and necessitates immediate emergency action.

- Embankment or Foundation Sliding: Sliding is usually first apparent when cracks or bulges in the embankment appear. Slides with progressive movement can cause failure of the embankment.

- Structural Failure: The structural failure or collapse of any non-overflow portion of the dam, spillway or spillway gates could result in loss of the reservoir. A structural failure of a portion of the spillway could cause piping and possibly embankment failure.

- Overtopping Failure: Overtopping of the embankment results in erosion of the dam crest. Once erosion begins, it is very difficult to stop.

5.2. Emergency Evaluation and Classification

This section lists the conditions and actions which may be used to classify the level of emergency response, as a guide for the Emergency Planning Manager (Executive Engineer, Main Dam Division). Specific dam observations and corresponding emergency classification levels can be found in Annexure 4, along with appropriate and recommended actions to follow in each case.

Internal Alert Condition BLUE — A "watch" condition. A problem has been detected at the dam that requires constant monitoring. At this time, the distress condition is manageable by dam personnel. The Emergency Planning Manager (Executive Engineer, Malampuzha Division) along with the support of site engineers will be responsible for monitoring and repair as soon as possible and implementing the appropriate Notification Flowchart. The following is a list of conditions that would initiate this condition:

- Cloudy or dirty seepage or seepage with an increase in flow, boils, piping, or bogs.

- Large sinkholes with corresponding seepage anywhere on the embankment or downstream from the toe.

- Any slide that degrades the crest of the embankment or that is progressively increasing in size.

- Cracking or movement of any concrete structure.

- An increase in the reservoir level leading to engagement of the spillway gate's.

- Exceptionally heavy rainfall in the catchment of the dam reservoir.
External Alert Condition ORANGE - This is indicative of a dam condition that is progressively getting worse; and there is a high probability of dam failure. Although there is no immediate danger, the dam could fail if conditions continue to deteriorate. The Emergency Planning Manager (Executive Engineer, Malampuzha Division) will be responsible for initiating immediate repairs, including lowering the reservoir if appropriate and implementing the appropriate Notification Flowchart. The following is a list of conditions that would initiate this condition:

- Any spillway's release matching with an ORANGE alert in accordance with Annexure 4
- Large boils, increasing in size and flow rate, especially if there is flowing muddy water
- Significantly increasing seepage, especially flowing muddy water
- Slides involving a large mass of material that impairs the crest of the dam and is continuing to move
- Sinkholes with seepage flowing muddy water
- Large cracks, movement or failure of a portion of any major concrete structure that forms an integral part of the dam
- An increase in the reservoir level to near the top of the dam
- Near to 'Design Flood' inflow forecast

External Alert Conditions RED - These are "failure" conditions. Either the dam is in immediate danger of failing or has already failed. No time remains to implement measures to prevent failure. Evacuate immediately. Evacuation efforts will continue until the situation is stabilized. The Emergency Planning Manager (Executive Engineer, Malampuzha Division) is responsible for implementing the appropriate Notification Flowchart. The following is a list of conditions that would initiate "imminent dam failure" or "dam failure" conditions:

- Any spillway's release matching with a RED alert in accordance with Annexure 4
- Rapidly increasing boils or the presence of new, significantly flowing boils, particularly muddy ones near previously identified ones.
- Rapidly increasing seepage, especially flowing muddy water
- Slides involving a large mass of material or which have degraded the crest of the embankment to a level that approaches the water surface level, or if significant seepage is observed through the slide area
• Settlement that is predicted to degrade to the reservoir level
• Cracks that extend to the reservoir level
• Significant movement or failure of any structure that forms an integral part of the dam
• Overtopping of the earthen dam
• Uncontrollable release of the reservoir

5.3. Previously Known Problems

Surface drainage of downstream slopes: The longitudinal toe drain of the dam is not in regular section in many portions.
Instrumentation: Instruments not in working condition.
Embarkment: Rip rap not in correct profile.
Electrical: Old damaged electric motors of the spillway gates and all other service gates
Left bank sluice: water leakage from the reservoir.

6. PREPAREDNESS

Preparedness actions are to be taken both before and following the development of emergency conditions and should identify ways of preparing for an emergency, increasing response readiness in a uniform and coordinated manner, and helping to reduce the effects of a dam failure. The following are some steps that could prevent or delay failure after an emergency is first discovered.

6.1. Surveillance

Round the clock surveillance at the dam and its appurtenant (on the rim of reservoir (left & right)) will be carried out by site engineers during emergency situations. For this, the posting of special observer of high experience and keen observation is an important requirement of EAP during high flood period. To ensure that the whole system (including civil structures & mechanical installations) is being maintained well upto satisfactory level, the repair maintenance must be carried out as per requirement of O & M of Pothundy Dam. In addition it must be ensured that maintenance and upkeep of different components is carried out and will be only possible through deployment of well procedure conversant and trained staff. The list of such persons should be displayed on a photo frame mounting at convenient safe places at dam site and list of local telephone numbers inside the dam & outside the dam area. It will be updated from time to time for any change.

6.2 Response on forecast of excessive inflow

Dam Engineers as well as Emergency Planning Managers will respond to the situation of excessive inflow forecast in close co-ordination with IMD especially during monsoons for
their forecast by way of controlled spillway releases as per the warning levels as per warning levels in Clause 2.2 of Reservoir Operations. Warnings will be intimated to the affected downstream authorities and 24 x 7 monitoring will be initiated.

6.3 Response during weekends and holidays

The Standard Operating Procedure with Reservoir Operation & Maintenance Manual and Gate Operation Manual are strictly followed throughout the year whether it is weekends/holidays/night. Executive Engineer (Irrigation Division, Malampuzha) will be available for emergency response during weekends and holidays and can be present at the dam site within 15 minutes of detection of an emergency condition. In case of non-availability of the Executive Engineer (Irrigation Division, Malampuzha), the Assistant Executive Engineer (Irrigation Division, Malampuzha) will take his responsibilities.

6.4 Response during periods of darkness and adverse weather

The Executive Engineer (Irrigation Division, Malampuzha) and Site Engineers will arrange for access to generators and lights to adequately monitor the situation. Site Engineers will ensure the generators and emergency light are well maintained and available under any circumstances. Executive Engineer (Irrigation Division, Malampuzha) will be able to access the site during adverse weather conditions by off road vehicle.

6.5. Access to the site

The following accesses to the dam are available, and depending of the emergency situation some of them should be used with caution or totally avoided

Alternate access routes should be planned in the event of an emergency at the dam. Normally, the route of Pothundy dam is from Nemmara town to Pothundy dam (8.00km) in the Nemmara-Nelliampathy road .

Alternate route to Pothundy dam in case of Emergency

<table>
<thead>
<tr>
<th>SL. No</th>
<th>From</th>
<th>Route Description</th>
<th>Distance</th>
<th>Condition of the Road</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Nemmara town</td>
<td>From Nemmara town to Pezhumpara jn (3.00km), turn right to Thalippadam jn.(5.00km) then turn left through the right bund of left bank main canal of the dam at ch:4/00km</td>
<td>Approx. 11.80km</td>
<td>Bituminous</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Nemmara town</td>
<td>From Nemmara town to Aluvassery (2.50km), turn left to the right bund of right bank main canal of the dam at ch:7/200km</td>
<td>Approx. 9.70km</td>
<td>Bituminous</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
6.6. Remedial Actions

Preparedness and remedial actions can be taken in an emergency to prevent the catastrophic failure of the dam, but such repairs should be undertaken with extreme caution. The repairs are only temporary, and a permanent repair should be designed by an engineer as soon as possible.

Specific actions for different emergency situations are described in the Annexure 4 (Action Data Sheets), which can be used in conjunction with Annex 4- Emergency Level Determination - Action Data Sheet Index). Examples of the actions to be taken for dam's officials under the direction of a professional engineer or contractor are described below. In all cases, the appropriate Notification Flowchart must be implemented and the personnel of the State Dam Safety Organization be notified.

Consider the following remedial actions if the dam's integrity is threatened by:

**Seepage Failure**

- Plug the flow with whatever material is available (hay, bentonite, or plastic) if the entrance is in the reservoir.
- Consider lower the water level in the reservoir.
- Place an inverted filter (a protective layer of sand and gravel) on the exit area to hold the material in place.
- Continue operating at a lower level until a repair is made.

**Embankment or Foundation Sliding**

- Lower the water level in the reservoir to an elevation considered safe, given the slide condition.
- Stabilize the slide, if on the downstream slope, by weighting the toe area below the slide with soil, rock, or gravel.
- Continue operating at a lower level until a repair is made.

**Structural Failure**

- Implement temporary measures to protect the damaged structure, such as placing rock riprap in the damaged area.
- Lower the water level to a safe elevation through the low flow outlet.

7. SUPPLIES AND RESOURCES

7.1 Contracts
If Dam Project's personnel and resources prove to be inadequate during an emergency, requests will be made for assistance from other local jurisdictions, other agencies, and industry, as needed. Such assistance may include equipment, supplies, or personnel. All agreements will be entered into by the following authorized officials, as deemed necessary to prevent the failure of the dam:

- Emergency Planning Manager (Executive Engineer, Irrigation Division, Malampuzha)
- Superintending Engineer, Siruvani Project Circle, Palakkad
- Chief Engineer, Projects – I

7.2 Equipment and Supplies

Equipment that is available for use and local contractors that can be contacted to provide equipment during an emergency event are listed in Annexure 5.

7.3 Reports

Pre-monsoon and post-monsoon inspections of the dam are made every year during the month of May and December respectively by the Site Engineers in coordination with the Emergency Planning Manager (Executive Engineer, Irrigation Division, Malampuzha) to evaluate its structural safety, stability, and operational adequacy. In the event of an abnormal occurrence, reference to these reports, particularly the photographs, can be beneficial in the evaluation of a potential problem.

Technical records such as drawings and inspection reports are stored and carefully maintained at the Control Room in dam site and in the Emergency Planning Manager's Office. Site Engineers are familiar with the location of the documents in the event of an emergency situation.

8. EMERGENCY OPERATIONS CENTRE

8.1. Activity log

Any unusual or emergency condition should be documented, including the following:

- Activation or deactivation of emergency facilities
- Emergency notifications to other local governments and to state and central government agencies
- Significant changes in the emergency
- Major commitments of resources or requests for additional resources from external sources
- Telephone calls will be recorded in chronological order
- Issuance of protective action recommendations to the public
- Evacuations and casualties
- Termination of the incident

8.2. Costs of the Emergency Operations Centre

For major emergencies, the emergency operations centre will maintain detailed records of costs expended. These records may be used to recover costs from the responsible party or insurers, or as a basis for requesting financial assistance for certain allowable response and recovery costs from the state or central government. Documented costs should include:

- Personnel costs, especially overtime
- Equipment operation
- Equipment leasing and rental
- Contract services to support emergency operations
- Specialized supplies expended in emergency operations

9. INUNDATION AREA

Inundation maps in Appendix 2 illustrate the areas subject to flooding from a failure of the main dam and others recurrent flood scenarios (Table 5). The maps were prepared using the results of a two-dimensional flow analysis and contain profiles of the peak flood levels expected, as well as an estimation of the time from the beginning of the breach to the moment the location start to be inundated. More hazard reference values such as depth, velocity, vulnerability, and water surface elevation are included in Appendix 2 in both, tabular and map format for each of the affected locations

Table – 5 Scenarios included in the Emergency Action Plan

<table>
<thead>
<tr>
<th>Annexure Index</th>
<th>Scenario</th>
<th>Hazard Parameters</th>
<th>No. of Tiles</th>
</tr>
</thead>
<tbody>
<tr>
<td>2 A</td>
<td>Large Controlled Release</td>
<td>Depth, Velocity, Water Surface Elevation</td>
<td>6</td>
</tr>
<tr>
<td>2 B</td>
<td>Over Topping</td>
<td>Depth, Velocity, Water Surface Elevation, Arrival Time</td>
<td>8</td>
</tr>
<tr>
<td>2 C</td>
<td>Non-Flood Failure</td>
<td>Depth, Velocity, Water Surface Elevation, Arrival Time</td>
<td>8</td>
</tr>
</tbody>
</table>
After examining the results of the breach analysis of Pothundy Dam, it has been determined that there were a significant number of structures that could be affected due to a design flood or sunny-day dam breach. These structures are located along the Ayilur river. Nenmara, Ayiloor and Melarkkode Grama Panchayats can suffer a significant impact from a breach of the dam. In addition, water results from a breach, and associated damages, can, under certain circumstances, travel down the Ayilur river affecting Vandazhi, Kizhakkanchery and Vadakkananchery Grama Panchayats. Hazard reference values (water surface elevation, depth, velocity and arrival time) for each of these structures are summarized in the Annexure 3 tables and the inundation maps in Annexure 2 for each case.

The Villages which can suffer a significant impact are listed in the Table 6 below.

**Table -6 : Affected Taluk and Villages**

<table>
<thead>
<tr>
<th>State</th>
<th>District</th>
<th>Taluk &amp; Alathur</th>
<th>Villages</th>
</tr>
</thead>
<tbody>
<tr>
<td>Kerala</td>
<td>Palakkad</td>
<td>Chittur &amp; Alathur</td>
<td>Nenmara</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Vallanghy</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Thiruvizhiyad</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Ayilur</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Kairady</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Melarkode</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Vandazhi-I</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Vandazhi-II</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Nelliampathy</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Vadakkenchery</td>
</tr>
</tbody>
</table>

It is also determined that there are significant numbers of buildings/roads, located on the banks and adjoining areas of stream and banks of Pothundy River could be affected due to a large control discharge from the spillways or flood wave resulting from dam breach scenario. Figures in the breach analysis include information on the estimated impact of flooding on the bridges along the Pothundy River. The most important crossing structures and parts of roads, likely to be submerged due to various scenarios, are summarized in the Table 7.
Table 7 List of Main Crossing Structures likely to be submerged

<table>
<thead>
<tr>
<th>Sl. No.</th>
<th>Name of Bridge / Location</th>
<th>Title Id in Inundation Map</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Pothundy Bridge, Near Siva temple</td>
<td>(1/2)</td>
</tr>
<tr>
<td>2</td>
<td>Chemmanthode Bridge</td>
<td>(1/2)</td>
</tr>
<tr>
<td>3</td>
<td>Puthanthottam Bridge</td>
<td>(1/2)</td>
</tr>
<tr>
<td>4</td>
<td>Aattuvay Jumamasjid</td>
<td>(1/2)</td>
</tr>
<tr>
<td>5</td>
<td>Thiruvazhiyad Bridge</td>
<td>(1/2)</td>
</tr>
<tr>
<td>6</td>
<td>Ayilur Bridge</td>
<td>(1/2)</td>
</tr>
<tr>
<td>7</td>
<td>Kadampidi Bridge</td>
<td>(1/2)</td>
</tr>
<tr>
<td>8.</td>
<td>Karippali Bridge</td>
<td>(2/2)</td>
</tr>
<tr>
<td>9.</td>
<td>Mangalam palam</td>
<td>(2/2)</td>
</tr>
</tbody>
</table>

The breach analysis contains profiles of the peak flood levels expected, as well as an estimation of the time from the beginning of the breach to the peak flood elevations. A comparison of the areas that are likely to be flooded with the plots showing the times from the start of the breach to the flooding shows the areas of evacuation and the time constraints involved. Figures in the breach analysis include information on the estimated impact of flooding on the bridges along the Pothundy River. These structures may suffer such impacts before the peak elevation of the flood wave.

9.1. Local Evacuation Plan

If imminent failure of Pothundy Dam with uncontrolled downstream flooding is anticipated, local disaster management and law enforcement personnel should notify those downstream (Table 6), for evacuation in the most expedient manner possible following the procedure given in the notification flow charts of this document (Notification Flow Chart Tab). Local law enforcement officials, along with local mobile network operators, radio and media representatives can best spread the notice for evacuation (See Responsibilities Sections, 3.3 and 3.4).

In addition, Annexure 4 (Emergency Level Determination & Action Data Sheets) can be used as support in the decision-making process either to escalate or downgrade an emergency event. The most important actions that should be taken during an evacuation process are:
- Police Departments will barricade all bridges and roads that could possibly be flooded to prevent access to the affected area. These bridges include all Mahanadi crossings and its tributaries as well as those affected roads shown in the **Annexure 2 (Inundation Maps)**. Inundation Maps along with Flood Hazard Reference Values in crossings locations included in this annexure indicate the appropriate barricade locations under the responsibility of local law-enforcement authorities.

- The Districts Disaster Management Authorities (Districts Collectors) will assist with the notification of all persons and agencies involved (relief authorities), with the possibility of additional support—including contacting others not accessible by radio or telephone.

- Relief Authorities (Police, Fire, Army) are generally familiar with developed areas in their jurisdiction. Such knowledge, coupled with the requirements of state law that they respond to disasters, make them the logical officials to be notified and to spread the warning message to all areas subject to flooding.

Based on the specific results of the dam breach analysis (wave arrival time) a local evacuation plan has been developed to assist disaster management authorities in the relief actions. The local evacuation plan, Hazard reference values and complete list of relief camps (shelters) is included in **Annexure 3**

### 10. IMPLEMENTATION

#### 10.1 Development

This EAP version has been prepared by State Project Management Unit in collaboration with Dam Officials. The document has been sent to the State Dam Safety Organization and Disaster Management Authority for review, and agency their comments will be incorporated into this document for final publication.

#### 10.2 Updating

Copies of the EAP have been provided to all authorities/officials included in the distribution list and the document has been approved and signed by the Additional Chief Secretary of Kerala Water Resources Department, District Disaster Management Authority, and the Dam Safety Organization.

This plan will be reviewed and updated annually before the 1st of June of every year by the **Emergency Planning Manager (Executive Engineer, Malampuzha Division) and the Superintending Engineer, Siruvani Project Circle, Palakkad.** This review will involve corresponding personnel from local disaster management agencies in conjunction with Dam Safety Organization's staff.
The Superintending Engineer will organize every year prior to monsoon an orientation meeting to introduce the revised EAP to local officials, emergency responders. This meeting will give an opportunity to all the stakeholders to review and comment on EAP document and their respective roles.

The Emergency Planning Manager (Executive Engineer, Irrigation Division, Malampuzha) will review and complete all items on the Annual EAP Evaluation Checklist in Annexure 8. After the annual update is complete, a new Approval and Implementation sheet will be attached and the annual update will be documented on the Plan Review and Update sheet in Annexure 9.

If revisions to the EAP are made as a result of the annual update, such changes will be recorded on the Log Sheet of Changes form at the front of the report. A copy of the updated portions of the EAP will be sent to the SDSO and all other concerned as per the EAP Distribution List. If the EAP was reviewed and revisions were not required, the Emergency Planning Manager will submit written notification to all concerned that no updates to the EAP have been adopted or implemented.

10.3. Testing

The Superintending Engineer shall organize the following exercises as specified below:

- **Orientation (Stakeholders’ Consultation):** The Superintending Engineer will organize an orientation meeting every year with local responders and stakeholders to solicit input, established roles during emergency situation and facilitate reliable responses to the emergencies. In orientation meeting, the Emergency Planning Manager will introduce the revised EAP to local officials and emergency responders and provide those entities the opportunity to review and comment on the documents and on their roles and responsibilities, described in EAP.

- **Tabletop exercises:-** Superintending Engineer and Emergency Planning Manager will organize a table-top drill once in 2 years to discuss and review the simulated or imaginary emergency situation. The tabletop drill involves a meeting of Emergency Planning Manager with local and state Disaster Management Officials in a conference room. The drill begins with a description of a simulated event and proceeds with discussions by the participants to evaluate the EAP and response procedures, and to resolve concerns regarding coordination and responsibilities. Any problems identified during a drill should be included in revisions to the EAP.

Before the tabletop exercise begins, meeting participants will visit the dam to familiarize with the dam site. Emergency Planning Manager will present a scenario of an unusual or emergency event at the dam. The scenario will be developed prior to the exercise with the support of the Dam Safety Organization.
Once the scenario has been presented, the participants will discuss the risk involved, responses and related actions that they would take to address and resolve the scenario throughout the exercise. The exercise provides an opportunity to discuss EAP and response procedures and resolve the questions throughout the exercise. It will also clarify roles and responsibilities and will identify additional threat mitigation and preparedness actions.

Records and reporting of the main conclusions/findings of both meetings will be maintained in *Annexure 10* and following the deadline given in *Table 8*.

**Table 8 : EAP Exercise / Testing Techniques**

<table>
<thead>
<tr>
<th>Exercise</th>
<th>Schedule</th>
<th>Reporting Deadlines</th>
</tr>
</thead>
<tbody>
<tr>
<td>Orientation (Stakeholder’s Consultation)</td>
<td>Annual</td>
<td>Pre-Event: Submit Agenda to Stakeholders 30 days before meeting</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Post-Event: Update Form 2 (Annexure 10), within 30 days after meeting</td>
</tr>
<tr>
<td>Tabletop Exercise</td>
<td>Once every 2 years (before monsoon season)</td>
<td>Pre-Event: Submit Exercise Plan and Schedule to participants 90 days before meeting</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Post-Event: Update Form 2 (Annexure 10), and submit Evaluation Report within 60 days after exercise</td>
</tr>
</tbody>
</table>

**10.4. Training**

The Superintending Engineer will ensure all people involved in the EAP be trained to guarantee that they are thoroughly familiar with its elements, the availability of equipment, and their responsibilities and duties under the plan. Personnel will be trained in problem detection, evaluation, and appropriate corrective measures. This training is essential for proper evaluation of developing situations at all levels of responsibility. Training records will be maintained also in *Annexure 10*. 
WATCH CONDITION NOTIFICATION FLOW CHART (Internal Alert)

Event Level Determination / Escalation Based on Annexure 4 (Action Sheets)

**Blue Alert**

**Civil Works**
- Name: Superintending Engineer
  - Email: sespcpkd@yahoo.co.in
  - Mobile No: 94473 96043
  - Office No: 0491-2577425
- Name: Executive Engineer
  - Email: eemechmpza@gmail.com
  - Mobile No: 9447881410
  - Office No: 0491 2815111
- Name: Executive Engineer
  - Email: executiveengineermalampuzha@gmail.com
  - Mobile No: 9633305540
  - Office No: 0491-2815111

**Mechanical Works**
- Name: Superintending Engineer
  - Email: semechekm@gmail.com
  - Mobile No: 9447060226
  - Office No: 0484 2424580
- Name: Executive Engineer
  - Email: eemechmpza@gmail.com
  - Mobile No: 9447881410
  - Office No: 0491 2815111
- Name: Assistant Executive Engineer
  - Email: aedammalampuzha@gmail.com
  - Mobile No: 9447765474
  - Office No: 04923-244232

**Expert Panel**
- Name: Chief Engineer
  - Email: cep1kkd@gmail.com
  - Mobile No: 9497766703
  - Office No: 0491-2815111
- Name: Executive Engineer
  - Email: executiveengineermalampuzha@gmail.com
  - Mobile No: 9447944309
  - Office No: 0491-2815111

**Others**
- Name: Chief Engineer
  - Email: cep1kkd@gmail.com
  - Mobile No: 9497766703
  - Office No: 0491-2815111

**Available Resources**

Observer of Event

Dam Site Office
- Name: Assistant Executive Engineer
  - Email: pothundysubdn@gmail.com
  - Mobile No: 9567095896
  - Office No: 04923-244232
- Name: Assistant Engineer
  - Email: pothundysubdn@gmail.com
  - Mobile No: 9847434443
  - Office No: 04923-244232
- Name: Assistant Engineer
  - Email: aedammalampuzha@gmail.com
  - Mobile No: 9447765474
  - Office No: 04923-244232

Superintending Engineer Office
- Name: Superintending Engineer
  - Email: sespcpkd@yahoo.co.in
  - Mobile No: 94473 96043
  - Office No: 0491-2577425
- Name: Executive Engineer
  - Email: eemechmpza@gmail.com
  - Mobile No: 9447881410
  - Office No: 0491 2815111
- Name: Assistant Executive Engineer
  - Email: aedammalampuzha@gmail.com
  - Mobile No: 9447765474
  - Office No: 04923-244232

Emergency Planning Manager
- Name: Executive Engineer
  - Email: executiveengineermalampuzha@gmail.com
  - Mobile No: 9447944309
  - Office No: 0491-2815111

Chief Engineer Office
- Name: Chief Engineer
  - Email: ceprkklid@gmail.com
  - Mobile No: 9497655116
  - Office No: 0495-2327290
- Name: Assistant Executive Engineer
  - Email: aedammalampuzha@gmail.com
  - Mobile No: 9447765474
  - Office No: 04923-244232

QC Division
- Name: Executive Engineer
  - Email: spdhhrsm@yahoocom
  - Mobile No: 0487 2322520
- Name: Assistant Executive Engineer
  - Email: irspdk12@gmail.com
  - Mobile No: 9447587647
  - Office No: 

State Dam Safety Organisation
- Name: Chief Engineer
  - Email: dsbbrvc@gmail.com
  - Mobile No: 9447788059
  - Office No: 0487-2784001
- Name: Director
  - Email: dsbbrvc@gmail.com
  - Mobile No: 9446688757
  - Office No: 0487-2303792

Dam Owners Officials

Notes:
1, 2, 3, ... Denotes Call Sequence / Priority
Compulsory Communication
Alternative Communication
PALAKKAD DISTRICT - FAILURE CONDITION NOTIFICATION FLOW CHART (External Alert)

**Emergency Planning Manager**
- Name: Executive Engineer
  - Email: executive.engineer@malampuzha@gmail.com
  - Mobile No: 9447944309
  - Office No: 0491-2815111

**Dam Owners Officials**
- Name: Assistant Executive Engineer
  - Email: executive.engineer@malampuzha@gmail.com
  - Mobile No: 9633805540
  - Office No: 0491-2815111

**District Representatives**
- Name: Deputy Collector
  - Email: executive.engineer@malampuzha@gmail.com
  - Mobile No: 954610093
  - Office No: 0491-2505008

**Police Department**
- Name: Superintendent of Police
  - Email: spplkd.pol@kerala.gov.in
  - Mobile No: 9497996977
  - Office No: 0491-2534011

**Health / Hospitals**
- Name: District Medical Officer
  - Email: 
  - Mobile No: 9946105487
  - Office No: 0491-2505264

**Fire Department**
- Name: Fire Station, Palakkad
  - Email: depkd.frs@kerala.gov.in
  - Mobile No:
  - Office No: 0491-2505701

**Tehsil Representatives**
- Name: Tehsildar
  - Email: 
  - Mobile No: 9447735012
  - Office No: 0491-2505770

**Media**
- Name: Press Club, Palakkad
  - Email: 
  - Mobile No: 8281504454
  - Office No: 0491-2536821

**State DMA**
- Name: Member Secretary
  - Email: 
  - Mobile No: 9400202927
  - Office No: 0471-2331345

**SDRF / Army / Navy Forces**
- Name: Commandant RRRF
  - Email: cmrdnt_rrrf.pol@kerala.gov.in
  - Office No: 04832783396
  - Office No: 04832783397

NOTES:
(1), (2), (3) ... Denotes Call Sequence / Priority
Compulsory Communication
Alternative Communication
Annexure – I

Vicinity Map
Inundation maps showing 1) maximum water depth, 2) maximum water velocity, 3) maximum water-surface elevation and 4) Arrival Time were prepared for each of the three flooding cases considered (non-flood failure, overtopping and large controlled release). An additional map showing the time of arrival of the flood wave since the start of failure (that is, since the initiation of breaching) is provided for each of the dam breach floods (overtopping and piping).

NOTE: Because of the method, procedures, and assumptions used to determine the flooded areas; the limits of flooding shown and flood wave travel times are approximate and should be used only as a guideline for establishing evacuation zones. Areas inundated in an actual event will depend on actual failure conditions and may differ from areas shown on the maps.
Annexure – 2 A

Inundation Map – Large Controlled Release
Annexure – 2 B

Inundation Map – Over Topping
Annexure – 2 C

Inundation Map – Non Flood Failure
Annexure – 3

Flood Hazard Reference Values

<table>
<thead>
<tr>
<th>Location</th>
<th>Distance(^{(a)}) downstream from dam (km)</th>
<th>Overtopping Failure</th>
<th>Non Flood Failure</th>
<th>Large Controlled Release</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Maximum depth(^{(b)}) (m)</td>
<td>Maximum velocity(^{(b)}) (m/s)</td>
<td>Flood wave arrival time(^{(c)}) (hh:mm)</td>
<td>Maximum depth(^{(b)}) (m)</td>
</tr>
<tr>
<td>Nenmarra</td>
<td>5.4</td>
<td>3.0</td>
<td>1</td>
<td>02:23:00</td>
</tr>
<tr>
<td>Kaippenchery</td>
<td>6.0</td>
<td>3.0</td>
<td>1</td>
<td>03:13:00</td>
</tr>
<tr>
<td>Thiruvizhiyad</td>
<td>4.8</td>
<td>6.0</td>
<td>1</td>
<td>02:23:00</td>
</tr>
<tr>
<td>Thalavettampara</td>
<td>6.8</td>
<td>7.0</td>
<td>2</td>
<td>02:48:00</td>
</tr>
<tr>
<td>Ayalur</td>
<td>6.2</td>
<td>6.5</td>
<td>4</td>
<td>02:48:00</td>
</tr>
<tr>
<td>Kadampidi</td>
<td>9.0</td>
<td>7.5</td>
<td>3</td>
<td>03:48:00</td>
</tr>
<tr>
<td>Choramkulam</td>
<td>9.6</td>
<td>4.0</td>
<td>1</td>
<td>04:13:00</td>
</tr>
<tr>
<td>Chittilamchery</td>
<td>10.6</td>
<td>5.0</td>
<td>1</td>
<td>04:13:00</td>
</tr>
<tr>
<td>Vandazhi</td>
<td>11.2</td>
<td>6.0</td>
<td>2</td>
<td>05:13:00</td>
</tr>
<tr>
<td>Vandazhi-II</td>
<td>11.5</td>
<td>5.5</td>
<td>2</td>
<td>04:23:00</td>
</tr>
<tr>
<td>Mudappallur</td>
<td>12.0</td>
<td>6.0</td>
<td>3</td>
<td>05:13:00</td>
</tr>
<tr>
<td>Mangalam palam</td>
<td>13.9</td>
<td>6.2</td>
<td>4</td>
<td>05:48:00</td>
</tr>
<tr>
<td>Kizhakkancheri</td>
<td>14.4</td>
<td>4.1</td>
<td>1</td>
<td>06:23:00</td>
</tr>
<tr>
<td>Vadakkenchery</td>
<td>15.1</td>
<td>4.5</td>
<td>2</td>
<td>06:38:00</td>
</tr>
</tbody>
</table>

\(^{(a)}\) Approximate distance downstream from dam measured along stream center line

\(^{(b)}\) Maximum value near the specified location, which usually occurs near the centre of the stream

\(^{(c)}\) Flood wave arrival time is the time since the initiation of the dam breach until the settlement is inundated.
## Local Evacuation Camp

<table>
<thead>
<tr>
<th>Evacuation Priority</th>
<th>Location</th>
<th>Nearby Shelters or relief camp identified</th>
<th>Responsible for Evacuation</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Nemmara</td>
<td>GGVHSS, Nemmara</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Nemmara</td>
<td>GHSS, Nemmara</td>
<td>District Police Chief, Palakkad</td>
</tr>
<tr>
<td>3</td>
<td>Nemmara</td>
<td>NSS College, Nemmara</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Nemmara</td>
<td>Bethlehem school, Pezhumpara, Nemmara</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Ayilur</td>
<td>SMHSS, Ayilur</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Ayilur</td>
<td>IHRD College, Ayilur</td>
<td></td>
</tr>
</tbody>
</table>
## ANNEXURE -4

### Emergency Level Determination – Action Data Sheet Index

Annex Table 9– Emergency Level Determination – Action Data Sheet Index

<table>
<thead>
<tr>
<th>Event / General Observation</th>
<th>Specific Observation/ Condition</th>
<th>Emergency Level</th>
<th>Action Data Sheet</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unexpected Failure</td>
<td>Dam unexpectedly and without warning begins to fail</td>
<td>RED</td>
<td>Sheet A1</td>
</tr>
<tr>
<td>Spillway Release</td>
<td>High intensity rainfall in the catchment area of reservoir: Forecast of heavy rain by IMD; large inflow to reservoir; may need to open gates in an emergency</td>
<td>BLUE</td>
<td>Sheet B1</td>
</tr>
<tr>
<td>Increasing Reservoir Water</td>
<td>Large inflow to reservoir; Water level is one meter below FRL; controlled release through spillway</td>
<td>ORANGE</td>
<td>Sheet B2</td>
</tr>
<tr>
<td>Surface Elevation</td>
<td>Large inflow to reservoir; Water level has crossed FRL; Large controlled release through spillway</td>
<td>RED</td>
<td>Sheet B3</td>
</tr>
<tr>
<td>Embankment Overtopping</td>
<td>Potential Embankment Overtopping, Reservoir water surface elevation is one meter below the top of the dam</td>
<td>ORANGE</td>
<td>Sheet C2</td>
</tr>
<tr>
<td></td>
<td>Water from the reservoir is flowing over the top of the dam</td>
<td>RED</td>
<td>Sheet C3</td>
</tr>
<tr>
<td>Seepage</td>
<td>Seepage through the dam body. New or minor seepage at toe, on slope of embankment, abutments or galleries, water flowing clear</td>
<td>BLUE</td>
<td>Sheet D1</td>
</tr>
<tr>
<td></td>
<td>New, seriously or rapidly increasing seepage flow rate at toe, on slope of embankment, abutments or galleries, water flowing clear.</td>
<td>ORANGE</td>
<td>Sheet D2</td>
</tr>
<tr>
<td></td>
<td>Serious seepage at toe, on slope embankment, abutments or galleries. Incontrollable muddy water flowing, failure of dam is imminent</td>
<td>RED</td>
<td>Sheet D3</td>
</tr>
<tr>
<td>Sinkholes</td>
<td>Sinkholes anywhere in embankment or within 150 m downstream from the toe. No seepage or flowing water</td>
<td>BLUE</td>
<td>Sheet E1</td>
</tr>
<tr>
<td></td>
<td>Sinkholes with seepage or flowing water anywhere in the embankment or within 150 m downstream from the toe.</td>
<td>ORANGE</td>
<td>Sheet E2</td>
</tr>
<tr>
<td></td>
<td>Sinkholes rapidly enlarging with muddy water anywhere in the embankment or within 150 m downstream from the toe.</td>
<td>RED</td>
<td>Sheet E3</td>
</tr>
<tr>
<td>Embankment Cracking /</td>
<td>Crack in the embankment crest or slopes greater than ½ cm or ¼ inch wide and considerable length, without seepage</td>
<td>BLUE</td>
<td>Sheet F1</td>
</tr>
<tr>
<td>Movement</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Section</td>
<td>Description</td>
<td>Level</td>
<td>Sheet</td>
</tr>
<tr>
<td>---------</td>
<td>-------------</td>
<td>-------</td>
<td>-------</td>
</tr>
<tr>
<td>Crack in the embankment crest or slopes</td>
<td>Crack in the embankment crest or slopes greater than ½ cm or ¼ inch wide and considerable length, with active movement / slippage and / or seepage through cracks</td>
<td>ORANGE</td>
<td>F2</td>
</tr>
<tr>
<td>Sudden or rapidly proceeding slides of the embankment slopes. Cracks that extends to reservoir elevation</td>
<td>RED</td>
<td>F3</td>
<td></td>
</tr>
<tr>
<td>Concrete / Masonry Structure Cracking</td>
<td>Minor cracks (bigger than ¼ cm) in the masonry / concrete structure, without leakage</td>
<td>BLUE</td>
<td>G1</td>
</tr>
<tr>
<td>Enlarging cracks (bigger than ¼ cm) and active movement in the masonry / concrete structure, with leakage passing through</td>
<td>ORANGE</td>
<td>G2</td>
<td></td>
</tr>
<tr>
<td>Enlarging cracks with sudden or rapidly proceeding movements / displacements in the masonry / concrete structure, with severe leakage passing through</td>
<td>RED</td>
<td>G3</td>
<td></td>
</tr>
<tr>
<td>Instrumentation</td>
<td>Instrumentation readings are beyond pre-determined / thresholds values</td>
<td>BLUE</td>
<td>H1</td>
</tr>
<tr>
<td>Malfunction of Radial / Sluice Gate (s)</td>
<td>Structural member of a gate, gate operator broken or severely damage, which prevents operation or malfunction of the gates(s). No leakage or uncontrolled discharge is detected. Flood cannot be routed without damaged / non-operational gate(s)</td>
<td>BLUE</td>
<td>I1</td>
</tr>
<tr>
<td>Structural member of a gate, gate operator broken or severely damage, which prevents operation or malfunction of the gates(s). Considerable leakage or uncontrolled discharge is detected. Flood cannot be routed without damaged / non-operational gate(s)</td>
<td>ORANGE</td>
<td>I2</td>
<td></td>
</tr>
<tr>
<td>Structural member of a gate, gate operator broken or severely damage, which prevents operation or malfunction of the gates(s). Unexpected high discharge is occurring. Flood cannot be routed without damaged / non-operational gate(s)</td>
<td>RED</td>
<td>I3</td>
<td></td>
</tr>
<tr>
<td>Earthquake</td>
<td>Measurable earthquake felt or reported and dam appears to be stable</td>
<td>BLUE</td>
<td>J1</td>
</tr>
<tr>
<td>Earthquake resulting in visible damage to the dam or appurtenances which can cause a potential dangerous situation</td>
<td>ORANGE</td>
<td>J2</td>
<td></td>
</tr>
<tr>
<td>Earthquake resulting in uncontrolled release of water over dam or rapidly developing flow through cracks or rapidly developing erosion through increased seepage</td>
<td>RED</td>
<td>J3</td>
<td></td>
</tr>
<tr>
<td>Unverified bomb threat or verified damage</td>
<td>BLUE</td>
<td>K1</td>
<td></td>
</tr>
<tr>
<td>Event Description</td>
<td>Color</td>
<td>Sheet</td>
<td></td>
</tr>
<tr>
<td>----------------------------------------------------------------------------------</td>
<td>-------</td>
<td>-------</td>
<td></td>
</tr>
<tr>
<td>to the dam / appurtenances with no impacts in the functioning of the dam</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Verified bomb threat that if carried out, could result in damage the dam / appurtenances that impacts the functioning of the dam OR verified damages due to vandalism that impacts the normal operation of the dam</td>
<td>ORANGE</td>
<td>Sheet K2</td>
<td></td>
</tr>
<tr>
<td>Detonated bomb resulting in visible damage to the dam or appurtenances OR verified damages due to vandalism causing or uncontrolled water release</td>
<td>RED</td>
<td>Sheet K3</td>
<td></td>
</tr>
</tbody>
</table>
## Emergency Action Plan for Pothundy Dam

**July 2019**

### RED ALERT

<table>
<thead>
<tr>
<th>Description: UNEXPECTED FAILURE</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>RECOMMENDED ACTIONS</strong></td>
</tr>
</tbody>
</table>

### Emergency Planning Manager

- A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message
- B. Activate the Emergency Operation Center
- C. Recommend to the District Collectors and Disaster Management Authorities IMMEDIATE Evacuation
- D. Stay a safe distance away from the dam. The immediate concern is the safety of downstream public.
- E. Record all information, observations and actions on an Event Log Form (*Form 1*).

### Site Engineers

- F. Stay a safe distance away from the dam
- G. Observe conditions in site periodically and provide decision support as appropriate.

### Superintending Engineer / Chief Engineer

- H. Communicate and keep informed the Secretary of Water Resources Department

### Dam Safety Organisation's Staff

- I. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

### RE-EVALUATION / DECISION

Evaluate conditions CONTINUOUSLY and determine if:

- A. The event warrants downgrade if there is no longer an impending threat of dam failure with no additional rainfall occurring YET there is damage to the dam that prevents safe impoundment of water. All contacts on Event Level 1 Notification Flow Chart shall be notified of downgrade to Event Level 3
- B. Event may be Terminated only when either:
  - There is no longer an impending threat of dam failure with no additional rainfall occurring and it has been determined by Dam Safety staff safe to impound water or;
  - The dam has failed AND there is no longer a threat to the downstream public

All contracts on Notification Flow chart shall be updated of changes

**Based on this evaluation, follow the appropriate action**

<table>
<thead>
<tr>
<th>A. EVENT LEVEL DOWNGRADE</th>
<th>B. TERMINATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor conditions until damage is repaired</td>
<td>Go to <em>Termination and Follow-up</em></td>
</tr>
</tbody>
</table>
**Emergency Action Plan for Pothundy Dam**

**BLUE ALERT**

**Description:**
SPILLWAY RELEASE: High intensity rainfall in the catchment area of reservoir; Forecast of heavy rain by IMD; large inflow to reservoir; may need to open gates in an emergency

<table>
<thead>
<tr>
<th>BLUE ALERT</th>
<th>Description:</th>
<th>SHEET B1</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>SPILLWAY RELEASE: High intensity rainfall in the catchment area of reservoir; Forecast of heavy rain by IMD; large inflow to reservoir; may need to open gates in an emergency</td>
<td></td>
</tr>
</tbody>
</table>

### RECOMMENDED ACTIONS

**Emergency Planning Manager**

A. Implement the “Watch Condition Notification Flowchart” using pre-scripted message

B. Make careful observation and inspection of every part of the dam; this should be done to monitor without compromising the safety of anyone performing the tasks

C. Record all information, observations and actions on an Event Log Form (*Form 1*).

D. Contact the Superintending Engineer / Chief Engineer at least daily to report the observations and conditions. If conditions change significantly, go to re-evaluation / decision section and follow relevant steps immediately

**Site Engineers**

E. Observe conditions in site periodically and provide decision support as appropriate.

F. Provide corrective actions or work as required.

**Superintending Engineer / Chief Engineer**

G. Direct Specific and appropriate procedures for reservoir operations

**Dam Safety Organisation’s Staff**

H. Provide decision support and technical support to the Emergency Planning Manager as appropriate

### RE-EVALUATION / DECISION

Evaluate conditions CONTINUOUSLY and determine if:

A. The event can be terminated when the intensity of rainfall is dwindling as per the forecast.

B. The event warrants escalation to orange alert if the reservoir level reaches one meter below FRL

All contracts on Notification Flow chart shall be updated of changes

**Based on this evaluation, follow the appropriate action**

<table>
<thead>
<tr>
<th>A. EVENT LEVEL DOWNGRADE</th>
<th>B. TERMINATION</th>
<th>C. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor conditions until damage is repaired</td>
<td>Go to <strong>Termination and Follow-up</strong></td>
<td>Go to <strong>SHEET B2</strong> (ORANGE Alert)</td>
</tr>
</tbody>
</table>
Emergency Action Plan for Pothundy Dam

**ORANGE ALERT**

**Description:**
SPILLWAY RELEASE: Large inflow to reservoir; Water level is one (1) meter below FRL; Controlled Release through spillway

**RECOMMENDED ACTIONS**

<table>
<thead>
<tr>
<th><strong>Emergency Planning Manager</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message</td>
</tr>
<tr>
<td>B. Identify the areas that would be potentially impacted by the emergency events.</td>
</tr>
<tr>
<td>C. Make careful observation and inspection of every part of the dam; this should be done without compromising the safety of anyone performing these tasks. Monitor water level in the reservoir in every hour</td>
</tr>
<tr>
<td>D. Record all information, observations and actions on an Event Log Form (Form 1).</td>
</tr>
<tr>
<td>E. Contact the Superintending Engineer / Chief Engineer hourly to report the latest observations and conditions. If conditions change significantly, go to re-evaluation / decision section and follow relevant steps immediately</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Site Engineers</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>F. Observe conditions in site periodically and provide decision support as appropriate.</td>
</tr>
<tr>
<td>G. Provide corrective actions or work as required.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Superintending Engineer / Chief Engineer</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>H. Direct Specific and appropriate procedures for reservoir operations</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Dam Safety Organisation’s Staff</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>I. Provide decision support and technical support to the Emergency Planning Manager as appropriate.</td>
</tr>
</tbody>
</table>

**RE-EVALUATION / DECISION**

Evaluate conditions CONTINUOUSLY and determine if:

| A. The event warrants downgrade to BLUE alert if “Spillway flows are decreasing with no additional rainfall occurring”. |
| B. The event remains at the current Event Level (No change in situation) |
| C. The event warrants escalation to RED alert if the integrity of the dam appears to be threatened by sudden or rapidly proceeding movements / displacements. |

All contracts on Notification Flow chart shall be updated of changes

**Based on this evaluation, follow the appropriate action**

<table>
<thead>
<tr>
<th>A. EVENT LEVEL DOWNGRADE</th>
<th>B. EVENT / LEVEL REMAINS THE SAME</th>
<th>C. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to the SHEET A1 (BLUE ALERT)</td>
<td>Continue recommended action on this sheet</td>
<td>Go to SHEET B3 (RED Alert)</td>
</tr>
</tbody>
</table>

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**Emergency Action Plan for Pothundy Dam**  
**July 2019**

| RED ALERT | Description:  
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>SPILLWAY RELEASE: Large inflow to reservoir; Water level has crossed FRL; Large Controlled Release through spillway</td>
</tr>
</tbody>
</table>

**RECOMMENDED ACTIONS**

**Emergency Planning Manager**

A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message

B. Identify the areas that would be potentially impacted by the emergency events.

C. Recommend to the District Collectors and Disaster Management Authorities IMMEDIATE EVACUATION downstream of the dam and affected areas as per Local Evacuation Plan.

D. Stay at safe distance away from the dam. The immediate concern is the safety of the downstream public

E. Record all information, observations and actions on an Event Log Form (Form 1).

**Site Engineers**

F. Observe conditions in site periodically and provide decision support as appropriate.

**Superintending Engineer / Chief Engineer**

G. Direct Specific and appropriate procedures to open / close spillway’s gates during the reservoir operations

**Dam Safety Organisation’s Staff**

H. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

**RE-EVALUATION / DECISION**

Evaluate conditions CONTINUOUSLY and determine if:

A. The event warrants downgrade to BLUE alert if “Spillway flows are decreasing with no additional rainfall occurring”.

B. The event remains at the current Event Level (No change in situation)

C. Event may be Terminated only when either:
   - Spillway flows has stopped with no additional rainfall occurring and it has been determined by Dam Safety Organisations Staff that it is safe to impound water or;
   - The dam has failed AND there is no longer a threat to the downstream public

All contracts on Notification Flow chart shall be updated of changes

Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>D. EVENT LEVEL DOWNGRADE</th>
<th>E. EVENT / LEVEL REMAINS THE SAME</th>
<th>F. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to the SHEET A2 (ORANGE ALERT)</td>
<td>Continue recommended action on this sheet</td>
<td>Go to Termination and Follow-up</td>
</tr>
</tbody>
</table>
### Event Description:
Potential Embankment Overtopping. Reservoir water surface elevation is one (1) meter below the top of the dam

### RECOMMENDED ACTIONS

**Emergency Planning Manager**

A. Implement the “ Failure Condition Notification Flowchart” using pre-scripted message  
B. Identify the areas that would be potentially impacted by the emergency events.  
C. Make careful observation and inspection of every part of the dam; this should be done without compromising the safety of anyone performing these tasks. Monitor water levels and spillway area for erosion every 15 minutes.  
D. Record all information, observations and actions on an Event Log Form (Form 1).  
E. Contact the Superintending Engineer / Chief Engineer hourly to report the latest observations and conditions. If conditions change significantly, go to re-evaluation/decision section and follow relevant steps immediately.

**Site Engineers**

F. Observe conditions in site periodically and provide decision support as appropriate.  
G. Provide corrective actions or work as required.

**Superintending Engineer / Chief Engineer**

H. Direct specific and appropriate procedures for reservoir operations.

**Dam Safety Organisation’s Staff**

I. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

### RE-EVALUATION / DECISION

Evaluate conditions CONTINUOUSLY and determine if:

A. The event warrants downgrade to BLUE alert if rainfall has stopped and slowing down additional inflow to the reservoir. Reservoir level are below FRL.  
B. The event remains at the current Event Level (No change in situation)  
C. The event warrants escalation to RED if water begins to overtop the embankment

All contracts on Notification Flow chart shall be updated of changes.

**Based on this evaluation, follow the appropriate action**

<table>
<thead>
<tr>
<th>G. EVENT LEVEL DOWNGRADE</th>
<th>H. EVENT / LEVEL REMAINS THE SAME</th>
<th>I. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Declare BLUE alert and Monitor conditions until reservoir levels go below FRL.</td>
<td>Continue recommended action on this sheet</td>
<td>Go to SHEET C3 (RED Alert)</td>
</tr>
</tbody>
</table>
## Emergency Action Plan for Pothundy Dam

### RED ALERT
**Description:**
Embankment Overtopping. Water from the reservoir is flowing over the top of the dam

**SHEET C3**

### RECOMMENDED ACTIONS

<table>
<thead>
<tr>
<th>Emergency Planning Manager</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message</td>
</tr>
<tr>
<td>B. Identify the areas that would be potentially impacted by the emergency events.</td>
</tr>
<tr>
<td>C. Recommend to the District Collectors and Disaster Management Authorities IMMEDIATE EVACUATION downstream of the dam and affected areas as per Local Evacuation Plan.</td>
</tr>
<tr>
<td>D. Stay at safe distance away from the dam. The immediate concern is the safety of the downstream public</td>
</tr>
<tr>
<td>E. Record all information, observations and actions on an Event Log Form (Form 1).</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Site Engineers</th>
</tr>
</thead>
<tbody>
<tr>
<td>F. Observe conditions from a safe place at dam site periodically and provide decision support as appropriate.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Superintending Engineer / Chief Engineer</th>
</tr>
</thead>
<tbody>
<tr>
<td>G. Direct Specific and appropriate procedures to open / close spillway’s gates during the reservoir operations</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Dam Safety Organisation’s Staff</th>
</tr>
</thead>
<tbody>
<tr>
<td>H. Provide decision support and technical support to the Emergency Planning Manager as appropriate.</td>
</tr>
</tbody>
</table>

### RE-EVALUATION / DECISION

Evaluate conditions CONTINUOUSLY and determine if:

| A. The event remains at the current Event Level (No change in situation) |
| B. Event may be Terminated only when either: |
| ▪ Spillway flows has stopped with no additional rainfall occurring and it has been determined by Dam Safety Organisations Staff that it is safe to impound water or; |
| ▪ The dam has failed AND there is no longer a threat to the downstream public |

All contracts on Notification Flow chart shall be updated of changes

**Based on this evaluation, follow the appropriate action**

<table>
<thead>
<tr>
<th>A. EVENT LEVEL DOWNGRADE</th>
<th>B. EVENT / LEVEL REMAINS THE SAME</th>
<th>C. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor conditions until damage is repaired</td>
<td>Continue recommended action on this sheet</td>
<td>Go to Termination and Follow-up</td>
</tr>
</tbody>
</table>

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BLUE ALERT

Event Description:
Seepage through the dam body. New or minor seepage at toe, on slope of embankment, abutments or galleries, water flowing clear.

RECOMMENDED ACTIONS

**Emergency Planning Manager**

A. Implement the “Watch Condition Notification Flowchart” using pre-scripted message

B. Make careful observation and inspection of every part of the dam; this should be done to monitor without compromising the safety of anyone performing the tasks. Monitor water levels and seepage points for cloudy discharge or increased flow rates every two hours. Attempt to determine source of seepage.

C. Record all information, observations and actions on an Event Log Form (Form 1).

D. Contact the Superintending Engineer / Chief Engineer at least daily to report the observations and conditions. If conditions change significantly, go to re-evaluation / decision section and follow relevant steps immediately

**Site Engineers**

E. Observe conditions in site periodically and provide decision support as appropriate. Try to channel and measure flow.

F. Use wooden stakes or flagging to delineate seepage area. Look for upstream whirlpools.

**Superintending Engineer / Chief Engineer**

G. Review all pertinent information in order to recommend appropriate actions to the Emergency Planning Manager in conjunction with Dam Safety Organisation’s staff. Provide oversight to corrective actions or works as required. Observe conditions in site periodically and provide decision support as appropriate.

**Dam Safety Organisation’s Staff**

H. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

RE-EVALUATION / DECISION

Evaluate conditions CONTINUOUSLY and determine if:

A. The event can be terminated if seepage flow has been remedied and it has been determined by Dam Safety Organisations Staff to impound water.

B. The remains at the current Event Level. (No change in situation)

C. The event warrants escalation to ORANGE alert if the reservoir level reaches one meter below FRL

Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>A. TERMINATION</th>
<th>B. EVENT / LEVEL REMAINS THE SAME</th>
<th>C. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to Termination and Follow-up</td>
<td>Continue recommended actions on this sheet</td>
<td>Go to SHEET D2 (ORANGE Alert)</td>
</tr>
</tbody>
</table>
Emergency Action Plan for Pothundy Dam

ORANGE ALERT

Event Description:
Seepage through the dam body. New, seriously or rapidly increasing seepage flow rate at toe, on slope of embankment, abutments or galleries, water flowing cloudy

RECOMMENDED ACTIONS

Emergency Planning Manager
A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message
B. Identify the areas that would be potentially impacted by the emergency events.
C. Make careful observation and inspection of every part of the dam; this should be done without compromising the safety of anyone performing these tasks. Monitor water levels and spillway area for erosion every 15 minutes.
D. Record all information, observations and actions on an Event Log Form (Form 1).
E. Contact the Superintending Engineer / Chief Engineer hourly to report the latest observations and conditions. If conditions change significantly, go to re-evaluation / decision section and follow relevant steps immediately

Site Engineers
F. Observe conditions in site periodically and provide decision support as appropriate.
G. If condition permit: plug the inflow from upstream side with available material (bentonite or plastic sheeting). Place an invert filter (layered sand and gravel) over the exit area to hold soil material in place.
H. Construct a large ring dike around the seepage area as appropriate
I. Provide oversight to corrective actions or work as required.

Superintending Engineer / Chief Engineer
J. Study an emergency lowering of the reservoir.

Dam Safety Organisation’s Staff
K. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

RE-EVALUATION / DECISION
Evaluate conditions at least twice daily, or whenever conditions change significantly.
A. The event warrants downgrade to BLUE alert if water level in the reservoir is lowered below level of seepage.
B. The event remains at the current Event Level (No change in situation)
C. The event warrants escalation to RED if water begins to overtop the embankment

All contracts on Notification Flow chart shall be updated of changes

Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>A. EVENT LEVEL DOWNGRADE</th>
<th>B. EVENT / LEVEL REMAINS THE SAME</th>
<th>C. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to SHEET D1 (Blue Alert)</td>
<td>Continue recommended action on this sheet</td>
<td>Go to SHEET D3 (RED Alert)</td>
</tr>
</tbody>
</table>
Emergency Action Plan for Pothundy Dam                 July 2019

RED ALERT  Event Description:  Seepage through the dam body. Serious seepage at toe, on slope of embankment, abutments, or galleries. Incontrollable muddy water flowing, failure of the dam is imminent.

SHEET D3

RECOMMENDED ACTIONS

Emergency Planning Manager
A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message
B. Identify the areas that would be potentially impacted by the emergency events.
C. Recommend to the District Collectors and Disaster Management Authorities IMMEDIATE EVACUATION downstream of the dam and affected areas as per Local Evacuation Plan.
D. Stay at safe distance away from the dam. The immediate concern is the safety of the downstream public
E. Record all information, observations and actions on an Event Log Form (Form 1).

Site Engineers
F. Observe conditions from a safe place at dam site periodically and provide decision support as appropriate.
G. Construct a large ring dike around the seepage area as appropriate

Superintending Engineer / Chief Engineer
H. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

Dam Safety Organisation’s Staff
I. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

RE-EVALUATION / DECISION

Evaluate the situation as events progress, or whenever conditions change. Determine whether:
A. The event warrants downgrade to BLUE alert if seepage has stopped and water level in reservoir is lowered below level of seepage.
B. The event remains at the current Event Level (No change in situation)
C. Event may be Terminated only when either :
   - The dam has failed AND there is no longer a threat to the downstream public

All contracts on Notification Flow chart shall be updated of changes

Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>A. EVENT LEVEL DOWNGRADE</th>
<th>B. EVENT / LEVEL REMAINS THE SAME</th>
<th>C. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor conditions until damage is repaired</td>
<td>Continue recommended action on this sheet</td>
<td>Go to Termination and Follow-up</td>
</tr>
<tr>
<td><strong>BLUE ALERT</strong></td>
<td><strong>Event Description:</strong></td>
<td><strong>SHEET E1</strong></td>
</tr>
<tr>
<td>---------------</td>
<td>-----------------------</td>
<td>-------------</td>
</tr>
<tr>
<td></td>
<td>Sinkholes anywhere in the embankment or within 150 m. downstream from the toe. No seepage or flowing water</td>
<td></td>
</tr>
</tbody>
</table>

## RECOMMENDED ACTIONS

### Emergency Planning Manager

A. Implement the “Watch Condition Notification Flowchart” using pre-scripted message  
B. Make careful observation and inspection of every part of the dam; this should be done to monitor without compromising the safety of anyone performing the tasks.  
C. Monitor water levels and change in diameter or depth of sinkhole every two hours. Attempt to determine source of sinkhole.  
D. Record all information, observations and actions on an Event Log Form (Form 1).  
E. Contact the Superintending Engineer / Chief Engineer at least daily to report the observations and conditions. If conditions change significantly, go to re-evaluation / decision section and follow relevant steps immediately

### Site Engineers

F. Photograph and record the location, size and depth of the depression/sinkhole. Carefully walk the entire embankment and downstream area looking for additional sinkholes, movement or seepage.  
G. Use wooden stakes or flagging to delineate seepage area. Look for upstream whirlpools.

### Superintending Engineer / Chief Engineer

H. Review all pertinent information in order to recommend appropriate actions to the Emergency Planning Manager in conjunction with Dam Safety Organisation’s staff. Provide oversight to corrective actions or works as required. Observe conditions in site periodically and provide decision support as appropriate.

### Dam Safety Organisation’s Staff

I. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

## RE-EVALUATION / DECISION

Evaluate conditions at least daily, or whenever conditions change significantly:  
A. The event can be terminated if seepage flow has been remedied and it has been determined by Dam Safety Organisations Staff to impound water.  
B. The event remains at the current Event Level. (No change in situation)  
C. The event warrants escalation to ORANGE alert if the reservoir level reaches one meter below FRL  

All contracts on Notification Flow chart shall be updated of changes  

**Based on this evaluation, follow the appropriate action**

<table>
<thead>
<tr>
<th>D. TERMINATION</th>
<th>E. EVENT / LEVEL REMAINS THE SAME</th>
<th>F. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to Termination and Follow-up</td>
<td>Continue recommended actions on this sheet</td>
<td>Go to SHEET E2 (ORANGE Alert)</td>
</tr>
</tbody>
</table>
### Emergency Action Plan for Pothundy Dam

#### Event Description:
Sinkholes with seepage or flowing water anywhere in the embankment or within 150 m downstream from the toe.

#### RECOMMENDED ACTIONS

**Emergency Planning Manager**

- A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message.
- B. Identify the areas that would be potentially impacted by the emergency events.
- C. Make careful observation and inspection of every part of the dam; this should be done without compromising the safety of anyone performing these tasks.
- D. Monitor water levels and change in diameter or depth of sinkhole every two hours.
- E. Record all information, observations and actions on an Event Log Form (Form 1).
- F. Contact the Superintending Engineer / Chief Engineer hourly to report the latest observations and conditions. If conditions change significantly, go to re-evaluation / decision section and follow relevant steps immediately.

**Site Engineers**

- G. Observe conditions in site periodically and provide decision support as appropriate.
- H. If condition permit: plug the inflow from upstream side with available material (bentonite or plastic sheeting). Place an invert filter (layered sand and gravel) over the exit area to hold soil material in place.
- I. Construct a large ring dike around the seepage area as appropriate to reduce the flow rate.
- J. Provide oversight to corrective actions or work as required.

**Superintending Engineer / Chief Engineer**

- K. Study an emergency lowering of the reservoir.

**Dam Safety Organisation’s Staff**

- L. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

#### RE-EVALUATION / DECISION

Evaluate conditions at least twice daily, or whenever conditions change significantly.

- D. The event warrants downgrade to BLUE alert if water level in the reservoir is lowered below level of seepage.
- E. The event remains at the current Event Level (No change in situation).
- F. The event warrants escalation to RED if the sinkhole enlarges or new sinkholes begin to form.

All contracts on Notification Flowchart shall be updated of changes.

Based on this evaluation, follow the appropriate action:

<table>
<thead>
<tr>
<th>D. EVENT LEVEL</th>
<th>E. EVENT / LEVEL</th>
<th>F. EVENT LEVEL</th>
</tr>
</thead>
<tbody>
<tr>
<td>DOWNGRADE</td>
<td>REMAINS THE SAME</td>
<td>ESCALATION</td>
</tr>
<tr>
<td>Go to SHEET E1 (Blue Alert)</td>
<td>Continue recommended action on this sheet</td>
<td>Go to SHEET E3 (RED Alert)</td>
</tr>
</tbody>
</table>

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83
**Event Description:**
Sinkholes rapidly enlarging with muddy water anywhere in the embankment or within 150 m downstream from the toe.

**RECOMMENDED ACTIONS**

**Emergency Planning Manager**

A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message

B. Identify the areas that would be potentially impacted by the emergency events.

C. Recommend to the District Collectors and Disaster Management Authorities IMMEDIATE EVACUATION downstream of the dam and affected areas as per Local Evacuation Plan.

D. Stay at safe distance away from the dam. The immediate concern is the safety of the downstream public

E. Record all information, observations and actions on an Event Log Form (Form 1).

**Site Engineers**

F. Observe conditions from a safe place at dam site periodically and provide decision support as appropriate.

G. Construct a large ring dike around the seepage area as appropriate

**Superintending Engineer / Chief Engineer**

H. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

**Dam Safety Organisation’s Staff**

I. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

**RE-EVALUATION / DECISION**

Evaluate the situation as events progress, or whenever conditions change. Determine whether:

D. The event warrants downgrade to BLUE alert if seepage has stopped and water level in reservoir is lowered below level of seepage.

E. The event remains at the current Event Level (No change in situation)

F. Event may be Terminated only when either:
   - The dam has failed AND there is no longer a threat to the downstream public.

All contracts on Notification Flow chart shall be updated of changes

Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>A. EVENT LEVEL DOWNGRADE</th>
<th>B. EVENT / LEVEL REMAINS THE SAME</th>
<th>C. TERMINATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor conditions until damage is repaired</td>
<td>Continue recommended action on this sheet</td>
<td>Go to Termination and Follow-up</td>
</tr>
</tbody>
</table>
Emergency Action Plan for Pothundy Dam

Event Description:
Embankment Cracking. Cracks in the embankment crest or slopes greater than ½ cm or ¼ inch wide and considerable length, without seepage

RECOMMENDED ACTIONS

Emergency Planning Manager
A. Implement the “Watch Condition Notification Flowchart” using pre-scripted message
B. Make careful observation and inspection of every part of the dam; this should be done to monitor without compromising the safety of anyone performing the tasks.
C. Monitor water levels and crack widths for movement or seepage.
D. Record all information, observations and actions on an Event Log Form (Form 1).
E. Contact the Superintending Engineer / Chief Engineer at least daily to report the observations and conditions. If conditions change significantly, go to re-evaluation / decision section and follow relevant steps immediately

Site Engineers
F. Photograph and record the location, depth, length, width and offset of each crack that has been discovered. Stakes should be placed at the ends of the cracks, and the distance between the stakes measured and recorded. Compare observations with earlier results.
G. Closely monitor the crack for changes and scraping.

Superintending Engineer / Chief Engineer
H. Review all pertinent information in order to recommend appropriate actions to the Emergency Planning Manager in conjunction with Dam Safety Organisation’s staff. Provide oversight to corrective actions or works as required. Observe conditions in site periodically and provide decision support as appropriate.

Dam Safety Organisation’s Staff
I. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

RE-EVALUATION / DECISION
Evaluate conditions at least daily, or whenever conditions change significantly:
A. The event can be terminated if its determined that the dam no longer poses an immediate threat to downstream by Dam Safety Organisations Staff.
B. The event remains at the current Event Level. (No change in situation)
C. The event warrants escalation to ORANGE alert if the reservoir level reaches one meter below FRL

All contracts on Notification Flow chart shall be updated of changes

Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>A. TERMINATION</th>
<th>B. EVENT / LEVEL REMAINS THE SAME</th>
<th>C. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to Termination and Follow-up</td>
<td>Continue recommended actions on this sheet</td>
<td>Go to SHEET F2 (ORANGE Alert)</td>
</tr>
</tbody>
</table>
### Event Description:
Embankment Cracking with movement. Cracks in the embankment crest or slopes greater than \(\frac{1}{2}\) cm or \(\frac{1}{4}\) inch wide and considerable length with active movement and or seepage through cracks.

### RECOMMENDED ACTIONS

#### Emergency Planning Manager

A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message  
B. Identify the areas that would be potentially impacted by the emergency events.  
C. Make careful observation and inspection of every part of the dam; this should be done without compromising the safety of anyone performing these tasks.  
D. Monitor water levels and development of new cracks or movement hourly.  
E. Record all information, observations and actions on an Event Log Form (Form 1).  
F. Contact the Superintending Engineer / Chief Engineer hourly to report the latest observations and conditions. If conditions change significantly, go to re-evaluation / decision section and follow relevant steps immediately.

#### Site Engineers

G. Observe conditions in site periodically and provide decision support as appropriate.  
H. If condition permit: Stabilize slides on the downstream slope by weighting the toe area below the slide with additional soil, rock or gravel.  
I. Provide oversight to corrective actions or work as required.

#### Superintending Engineer / Chief Engineer

J. Study an emergency lowering of the reservoir.

#### Dam Safety Organisation’s Staff

K. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

### RE-EVALUATION / DECISION

Evaluate conditions at least twice daily, or whenever conditions change significantly.

- G. The event warrants downgrade to BLUE alert if water level in the reservoir is lowered below level of embankment fill. Event may not be terminated until repairs are made.
- H. The event remains at the current Event Level (No change in situation)
- I. The event warrants escalation to RED alert if the sinkhole enlarges or new sinkholes begin to form.

All contracts on Notification Flowchart shall be updated of changes.

Based on this evaluation, follow the appropriate action:

<table>
<thead>
<tr>
<th>G. EVENT LEVEL DOWNGRADE</th>
<th>H. EVENT / LEVEL REMAINS THE SAME</th>
<th>I. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to SHEET F1 (Blue Alert)</td>
<td>Continue recommended action on this sheet</td>
<td>Go to SHEET F3 (RED Alert)</td>
</tr>
</tbody>
</table>
# RED ALERT

## Event Description:
Embarkment Cracking and active movement. Sudden or rapidly proceeding slides of the embankment slopes. Cracks that extends to the reservoir elevation

## RECOMMENDED ACTIONS

### Emergency Planning Manager

A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message  
B. Identify the areas that would be potentially impacted by the emergency events.  
C. Recommend to the District Collectors and Disaster Management Authorities IMMEDIATE EVACUATION downstream of the dam and affected areas as per Local Evacuation Plan.  
D. Stay at safe distance away from the dam. The immediate concern is the safety of the downstream public  
E. Record all information, observations and actions on an Event Log Form (Form 1).

### Site Engineers

F. Observe conditions from a safe place at dam site periodically and provide decision support as appropriate.

### Superintending Engineer / Chief Engineer

G. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

### Dam Safety Organisation’s Staff

H. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

## RE-EVALUATION / DECISION

Evaluate the situation as events progress, or whenever conditions change. Determine whether:

A. The event warrants downgrade to BLUE alert if there is no longer an immediate threat of dam failure and water level in reservoir is lowered below bottom level of embankment fill.  
B. The event remains at the current Event Level (No change in situation)  
C. Event may be Terminated only when either:  
   - The dam has failed AND there is no longer a threat to the downstream public.

All contracts on Notification Flow chart shall be updated of changes

Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>D. EVENT LEVEL DOWNGRADE</th>
<th>E. EVENT / LEVEL REMAINS THE SAME</th>
<th>F. TERMINATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor conditions until damage is repaired</td>
<td>Continue recommended action on this sheet</td>
<td>Go to Termination and Follow-up</td>
</tr>
</tbody>
</table>
**Event Description:** Concrete / Masonry Structure Cracking. Minor cracks (bigger than ¼ cm) in the masonry / concrete structure, without leakage

### RECOMMENDED ACTIONS

<table>
<thead>
<tr>
<th><strong>Emergency Planning Manager</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Implement the “Watch Condition Notification Flowchart” using pre-scripted message</td>
<td></td>
</tr>
<tr>
<td>B. Make careful observation and inspection of every part of the dam; this should be done to monitor without compromising the safety of anyone performing the tasks.</td>
<td></td>
</tr>
<tr>
<td>C. Monitor water levels in the reservoir. Install a measurement device to monitor progress / movement in crack(s)</td>
<td></td>
</tr>
<tr>
<td>D. Classify and describe the type of crack pattern and evaluate possible causes.</td>
<td></td>
</tr>
<tr>
<td>E. Record all information, observations and actions on an Event Log Form (Form 1).</td>
<td></td>
</tr>
<tr>
<td>F. Contact the Superintending Engineer / Chief Engineer at least daily to report the observations and conditions. If conditions change significantly, go to re-evaluation / decision section and follow relevant steps immediately</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Site Engineers</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>G. Photograph and record the location, direction (longitudinal, vertical, diagonal etc), depth, length, width and offset of each crack that has been discovered. Compare observations with earlier results.</td>
<td></td>
</tr>
<tr>
<td>H. Closely monitor the crack for changes and look for structural damage, including misalignment, settlement, vertical and horizontal displacement.</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Superintending Engineer / Chief Engineer</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>I. Review all pertinent information in order to recommend appropriate actions to the Emergency Planning Manager in conjunction with Dam Safety Organisation’s staff. Provide oversight to corrective actions or works as required. Observe conditions in site periodically and provide decision support as appropriate.</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Dam Safety Organisation’s Staff</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>J. Provide decision support and technical support to the Emergency Planning Manager as appropriate.</td>
<td></td>
</tr>
</tbody>
</table>

### RE-EVALUATION / DECISION

Evaluate conditions at least daily, or whenever conditions change significantly:

- A. The event can be terminated if its determined that the dam no longer poses an immediate threat to downstream by Dam Safety Organisation’s Staff.
- B. The event remains at the current Event Level. (No change in situation)
- C. The event warrants escalation to ORANGE alert if cracks are enlarging AND leakage begins to flow from cracks.

All contracts on Notification Flow chart shall be updated of changes

Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>A. TERMINATION</th>
<th>B. EVENT / LEVEL REMAINS THE SAME</th>
<th>C. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to <strong>Termination and Follow-up</strong></td>
<td>Continue recommended actions on this sheet</td>
<td>Go to <strong>SHEET G2</strong> (ORANGE Alert)</td>
</tr>
</tbody>
</table>
## Event Description:
Concrete / Masonry Structure Cracking. Enlarging cracks (bigger than ¼ cm) and an active movement in the masonry / concrete structure, with leakage passing through.

### RECOMMENDED ACTIONS

**Emergency Planning Manager**

A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message.
B. Identify the areas that would be potentially impacted by the emergency events.
C. Make careful observation and inspection of every part of the dam; this should be done without compromising the safety of anyone performing these tasks. Look closely for changes in the spillways and outlet structures that may be affected by the structural. Items to check include vertical, horizontal and lateral displacements, structural cracking, and tilting of spillway walls.
D. Monitor water levels and development of new cracks or movement hourly.
E. Record all information, observations and actions on an Event Log Form (Form 1).
F. Contact the Superintending Engineer / Chief Engineer hourly to report the latest observations and conditions. If conditions change significantly, go to re-evaluation / decision section and follow relevant steps immediately.

**Site Engineers**

G. Observe conditions in site periodically and provide decision support as appropriate.
H. If condition permit: Dump large rock on downstream of moving concrete structure monolith to resist the movement. Lower burlap on upstream face of crack(s) to reduce flow of soil particles.
I. Provide oversight to corrective actions or work as required.

**Superintending Engineer / Chief Engineer**

J. Study an emergency lowering of the reservoir.

**Dam Safety Organisation’s Staff**

K. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

### RE-EVALUATION / DECISION

Evaluate conditions at least twice daily, or whenever conditions change significantly.

A. The event warrants downgrade to BLUE alert if water level in the reservoir is lowered to safe level. Event may not be terminated until repairs are made and causes of cracking / movement has been determined.
B. The event remains at the current Event Level (No change in situation)
C. The event warrants escalation to RED alert if the sinkhole enlarges or new sinkholes begin to form.

All contracts on Notification Flowchart shall be updated of changes.

Based on this evaluation, follow the appropriate action:

<table>
<thead>
<tr>
<th>J. EVENT LEVEL DOWNGRADE</th>
<th>K. EVENT / LEVEL REMAINS THE SAME</th>
<th>L. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to SHEET G1 (Blue Alert)</td>
<td>Continue recommended action on this sheet</td>
<td>Go to SHEET G3 (RED Alert)</td>
</tr>
</tbody>
</table>
RED ALERT

Event Description:
Concrete / Masonry Structure Cracking. Enlarging cracks with sudden or rapidly proceeding movements / displacements in the masonry / concrete structure, with severe leakage passing through SHEET G3

RECOMMENDED ACTIONS

Emergency Planning Manager

A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message
B. Identify the areas that would be potentially impacted by the emergency events.
C. Recommend to the District Collectors and Disaster Management Authorities IMMEDIATE EVACUATION downstream of the dam and affected areas as per Local Evacuation Plan.
D. Stay at safe distance away from the dam. The immediate concern is the safety of the downstream public
E. Record all information, observations and actions on an Event Log Form (Form 1).

Site Engineers

F. Observe conditions from a safe place at dam site periodically and provide decision support as appropriate.

Superintending Engineer / Chief Engineer

G. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

Dam Safety Organisation’s Staff

H. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

RE-EVALUATION / DECISION

Evaluate the situation as events progress, or whenever conditions change. Determine whether:

A. The event warrants downgrade to BLUE alert if there is no longer an immediate threat of dam failure and water level in reservoir is lowered below bottom level of embankment fill.
B. The event remains at the current Event Level (No change in situation)
C. Event may be Terminated only when either:
   - The dam has failed AND there is no longer a threat to the downstream public.

All contracts on Notification Flow chart shall be updated of changes

Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>A. EVENT LEVEL DOWNGRADE</th>
<th>B. EVENT / LEVEL REMAINS THE SAME</th>
<th>C. TERMINATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor conditions until damage is repaired</td>
<td>Continue recommended action on this sheet</td>
<td>Go to Termination and Follow-up</td>
</tr>
</tbody>
</table>
## Event Description:
Instrumentation readings are beyond predetermined / threshold values.

### RECOMMENDED ACTIONS

#### Emergency Planning Manager

A. Implement the “Watch Condition Notification Flowchart” using pre-scripted message

B. Make careful observation and inspection of every part of the dam related with the instruments measurements.

C. Monitor water levels and instrument readings for changes or anomalies.

D. Record all information, observations and actions on an Event Log Form (Form 1).

E. Contact the Superintending Engineer / Chief Engineer at least daily to report the observations and conditions.

F. If instrumentation readings at the dam are determined to indicate a potentially dangerous situation, go to re-evaluation / decision section and follow relevant steps immediately

#### Site Engineers

G. Contact the Quality assurance / monitoring division to inform the anomalies.

H. Closely monitor the instruments performance and increase frequency readings to determine negative / dangerous trends.

#### Superintending Engineer / Chief Engineer

I. Review all pertinent information in order to recommend appropriate actions to the Emergency Planning Manager in conjunction with Dam Safety Organisation’s staff. Provide oversight to corrective actions or works as required. Observe conditions in site periodically and provide decision support as appropriate.

#### Dam Safety Organisation’s Staff

J. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

### RE-EVALUATION / DECISION

Evaluate conditions at least daily, or whenever conditions change significantly:

A. The event can be terminated if instrumentation readings back to normal or if instrument reading determined to be invalid.

B. The event remains at the current Event Level. (No change in situation)

C. The event warrants escalation if instrumentation readings at the dam site are determined to indicate a potentially dangerous situation.

All contracts on Notification Flow chart shall be updated of changes

### Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>D. TERMINATION</th>
<th>E. EVENT / LEVEL REMAINS THE SAME</th>
<th>F. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to Termination and Follow-up</td>
<td>Continue recommended actions on this sheet</td>
<td>Monitor conditions until damaged is repaired</td>
</tr>
</tbody>
</table>
**BLUE ALERT**

**Event Description:**
Malfunction of Spillway / Sluice Gate(s). Structural member of a gate, gate operator broken or severely damaged, which prevents operation or malfunction of the gate(s). No leakage or uncontrolled discharge is detected. Flood can be routed without damaged / non-operational gate(s)

<table>
<thead>
<tr>
<th>RECOMMENDED ACTIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Emergency Planning Manager</strong></td>
</tr>
<tr>
<td>A. Implement the &quot;Watch Condition Notification Flowchart&quot; using pre-scripted message</td>
</tr>
<tr>
<td>B. Make careful observation and inspection of every part of spillway mechanism</td>
</tr>
<tr>
<td>C. Record water levels and flood forecasting reports continuously. Verify the rest of spillways gates are operative.</td>
</tr>
<tr>
<td>D. Contact the Superintending Engineer / Chief Engineer at least daily to report the observations and conditions.</td>
</tr>
<tr>
<td>E. If leakage or uncontrolled release is detected at the dam and indicate a potentially dangerous situation, go to re-evaluation / decision section and follow relevant steps immediately.</td>
</tr>
<tr>
<td>G. If forecasting reports bring about the need to operate the damaged / non-operational gate(s), go to re-evaluation / decision section and follow relevant steps immediately.</td>
</tr>
<tr>
<td><strong>Site Engineers</strong></td>
</tr>
<tr>
<td>H. Contact the Hydro-Mechanical / Maintenance Division to inform the anomalies.</td>
</tr>
<tr>
<td>I. Monitor and supervise any remedial action and inform the Emergency Planning Manager about the progress.</td>
</tr>
<tr>
<td>J. Assure gauge stations and forecast data is transmitted with a higher frequency than during normal operations.</td>
</tr>
<tr>
<td><strong>Superintending Engineer / Chief Engineer</strong></td>
</tr>
<tr>
<td>K. Review all pertinent information in order to recommend appropriate actions to the Emergency Planning Manager in conjunction with Dam Safety Organisation’s staff. Provide oversight to corrective actions or works as required. Observe conditions in site periodically and provide decision support as appropriate.</td>
</tr>
<tr>
<td><strong>Dam Safety Organisation’s Staff</strong></td>
</tr>
<tr>
<td>L. Provide decision support and technical support to the Emergency Planning Manager as appropriate.</td>
</tr>
</tbody>
</table>

**RE-EVALUATION / DECISION**

Evaluate conditions at least daily, or whenever conditions change significantly:

| A. The event can be terminated if instrumentation readings back to normal or if instrument reading determined to be invalid. |
| B. The event remains at the current Event Level. (No change in situation) |
| C. The event warrants escalation if:
  * Considerable leakage or uncontrolled discharge is detected. |
  * Forecast data indicates that’s is impossible handle the flood without the operation of the damaged / non-operational gates. |

All contracts on Notification Flow chart shall be updated of changes

**Based on this evaluation, follow the appropriate action**

<table>
<thead>
<tr>
<th>G. TERMINATION</th>
<th>H. EVENT / LEVEL REMAINS THE SAME</th>
<th>I. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to <strong>Termination and Follow-up</strong></td>
<td>Continue recommended actions on this sheet</td>
<td>Go to <strong>SHEET 12</strong> (ORANGE Alert)</td>
</tr>
</tbody>
</table>
**ORANGE ALERT**  

### Event Description:
Malfunction of Spillway / Sluice Gate(s). Structural member of a gate, gate operator broken or severely damage, which prevents operation or malfunction of the gate(s). Considerable leakage or uncontrolled discharge is detected. Flood cannot be routed without damaged / non-operational gate(s)

---

### RECOMMENDED ACTIONS

**Emergency Planning Manager**

A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message
B. Identify the areas that would be potentially impacted by the emergency events.
C. Make careful observation and inspection of every part of spillway mechanism.
D. Monitor water levels and flood forecasting reports continuously. Verify the rest of spillway’s gates are operative.
E. Record all information, observations and actions on an Event Log Form (Form 1).
F. Contact the Superintending Engineer / Chief Engineer hourly to report the latest observations and conditions. If conditions change significantly, go to re-evaluation / decision section and follow relevant steps immediately

**Site Engineers**

G. Observe conditions in site periodically and provide decision support as appropriate.
H. Provide oversight to corrective actions or work as required.

**Superintending Engineer / Chief Engineer**

I. Study an emergency lowering of the reservoir.

**Dam Safety Organisation’s Staff**

J. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

---

### RE-EVALUATION / DECISION

Evaluate conditions at least twice daily, or whenever conditions change significantly.

A. The event warrants downgrade to BLUE alert if leakage was stopped but still repair actions should be done. Event may not be terminated until repairs are made.
B. The event remains at the current Event Level (No change in situation)
C. The event warrants escalation to RED alert if the leakage is rapidly increasing through the gate(s) or the failure of the gate(s) is imminent. Unexpected discharges during non-flood season should be considered as high risk events where an escalation in the level of alert is necessary.

All contracts on Notification Flow chart shall be updated of changes

Based on this evaluation, follow the appropriate action

**A. EVENT LEVEL DOWNGRADE**
Go to SHEET I1 (Blue Alert)

**B. EVENT / LEVEL REMAINS THE SAME**
Continue recommended action on this sheet

**C. EVENT LEVEL ESCALATION**
Go to SHEET I3 (RED Alert)
Emergency Action Plan for Pothundy Dam

### Event Description:
Failure of Spillway / Sluice Gate(s). Structural member of a gate, gate Operator broken or severely damage, which prevents operation or malfunction of the gate(s). Unexpected high discharge is occurring. Flood cannot be routed without damaged / non-operational gate(s)

### RECOMMENDED ACTIONS

#### Emergency Planning Manager
- A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message
- B. Identify the areas that would be potentially impacted by the emergency events. During non-flood season special attention should be done for those areas where the river stream has been encroached.
- C. Recommend to the District Collectors and Disaster Management Authorities IMMEDIATE EVACUATION downstream of the dam and affected areas as per Local Evacuation Plan.
- D. Stay at safe distance away from the dam. The immediate concern is the safety of the downstream public
- E. Record all information, observations and actions on an Event Log Form (Form 1).

#### Site Engineers
- F. Observe conditions from a safe place at dam site periodically and provide decision support as appropriate.

#### Superintending Engineer / Chief Engineer
- G. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

#### Dam Safety Organisation’s Staff
- H. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

### RE-EVALUATION / DECISION
Evaluate the situation as events progress, or whenever conditions change. Determine whether:
- A. The event warrants downgrade to BLUE alert if there is no longer an immediate threat of dam failure and water level in reservoir is lowered below the crest level of spillway.
- B. The event remains at the current Event Level (No change in situation)
- C. Event may be Terminated only when either:
  - The gate(s) have failed AND there is no longer a threat to the downstream public.

All contracts on Notification Flowchart shall be updated of changes

Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>D. EVENT LEVEL DOWNGRADE</th>
<th>E. EVENT / LEVEL REMAINS THE SAME</th>
<th>F. TERMINATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor conditions until damage is repaired</td>
<td>Continue recommended action on this sheet</td>
<td>Go to Termination and Follow-up</td>
</tr>
</tbody>
</table>
**BLUE ALERT**

**Event Description:**
Earthquake. Measurable earthquake felt or reported and dam appears to be stable

<table>
<thead>
<tr>
<th>SHEET J1</th>
</tr>
</thead>
</table>

**RECOMMENDED ACTIONS**

**Emergency Planning Manager**
- A. Implement the “Watch Condition Notification Flowchart” using pre-scripted message
- B. Make careful observation and inspection of every part of the dam. This should be done without compromising the safety of anyone performing these tasks.
- C. Be prepared for additional aftershocks.
- D. Record all information, observations and actions on an Event Log Form (Form 1).
- E. Contact the Superintending Engineer / Chief Engineer at least daily to report the observations and conditions.
- F. If inspection has determined a potentially dangerous situation, go to the re-evaluation / decision section below and follow relevant steps immediately.

**Site Engineers**
- G. Conduct a comprehensive site inspection of the dam and appurtenant elements and prepare a report with the most important findings.
- H. Monitor and supervise any remedial action and inform the Emergency Planning Manager about the progress.
- I. Observe conditions in site periodically and provide decision support as appropriate.

**Superintending Engineer / Chief Engineer**
- J. Review all pertinent information in order to recommend appropriate actions to the Emergency Planning Manager in conjunction with Dam Safety Organisation’s staff. Provide oversight to corrective actions or works as required. Observe conditions in site periodically and provide decision support as appropriate.

**Dam Safety Organisation’s Staff**
- K. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

**RE-EVALUATION / DECISION**

Evaluate conditions at least daily, or whenever conditions change significantly:
- A. The event can be terminated if the dam is determined to be stable and a sufficient amount of time has passed. Additional aftershocks are not expected.
- B. The event remains at the current Event Level. (No change in situation)
- C. The event warrants escalation if inspection has determined a potentially dangerous situation

All contracts on Notification Flow chart shall be updated of changes

Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>A. TERMINATION</th>
<th>B. EVENT / LEVEL REMAINS THE SAME</th>
<th>C. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to Termination and Follow-up</td>
<td>Continue recommended actions on this sheet</td>
<td>Go to SHEET J2 (ORANGE Alert)</td>
</tr>
</tbody>
</table>
ORANGE ALERT

Event Description:
Earthquake. Earthquake resulting in visible damage to the dam or appurtenances which can cause a potential dangerous situation

RECOMMENDED ACTIONS

Emergency Planning Manager
A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message
B. Identify the areas that would be potentially impacted by the potential failures of the damaged areas.
C. Be prepared for additional aftershocks
D. Make careful observation and inspection of every part of the dam, this should be done without compromising the safety of anyone performing these tasks.
E. Monitor water levels and development of new damages or movements hourly.
F. Record all information, observations and actions on an Event Log Form (Form 1).
G. Contact the Superintending Engineer / Chief Engineer hourly to report the latest observations and conditions.
H. If visible damages aggravate rapidly, go to re-evaluation / decision section and follow relevant steps immediately.

Site Engineers
I. Observe conditions in site periodically and provide decision support as appropriate.
J. If condition permit: conduct a comprehensive site inspection of the dam and appurtenant elements and prepare a report with the most important findings.
K. Provide oversight to corrective actions or work as required.

Superintending Engineer / Chief Engineer
L. Analyse and decide if an emergency lowering of the reservoir.

Dam Safety Organisation’s Staff
M. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

RE-EVALUATION / DECISION

Evaluate conditions at least twice daily, or whenever conditions change significantly.
A. The event warrants downgrade to BLUE alert if water level in reservoir is lowered below bottom level of embankment / dam damaged section. Event may not be terminated until repairs are made.
B. The event remains at the current Event Level (No change in situation)
C. The event warrants escalation to RED alert if one or multiple of the following conditions have been observed: uncontrolled release of water over dam or rapidly developing flow through cracks or rapidly developing erosion through increased seepage are observed..

All contracts on Notification Flow chart shall be updated of changes

Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>D. EVENT LEVEL</th>
<th>E. EVENT / LEVEL</th>
<th>F. EVENT LEVEL</th>
</tr>
</thead>
<tbody>
<tr>
<td>DOWNGRADE</td>
<td>REMAINS THE SAME</td>
<td>ESCALATION</td>
</tr>
<tr>
<td>Go to SHEET J1 (Blue Alert)</td>
<td>Continue recommended action on this sheet</td>
<td>Go to SHEET J3 (RED Alert)</td>
</tr>
</tbody>
</table>
## Event Description:
Earthquake resulting in uncontrolled release of water over dam or rapidly developing flow through cracks or rapidly developing erosion through increased seepage

## RECOMMENDED ACTIONS

### Emergency Planning Manager

A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message.

B. Identify the areas that would be potentially impacted by the emergency events.

C. Recommend to the District Collectors and Disaster Management Authorities IMMEDIATE EVACUATION downstream of the dam and affected areas as per Local Evacuation Plan.

D. Stay at safe distance away from the dam. The immediate concern is the safety of the downstream public.

E. Record all information, observations and actions on an Event Log Form (Form 1).

### Site Engineers

F. Observe conditions from a safe place at dam site periodically and provide decision support as appropriate.

### Superintending Engineer / Chief Engineer

G. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

### Dam Safety Organisation's Staff

H. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

## RE-EVALUATION / DECISION

Evaluate the situation as events progress, or whenever conditions change. Determine whether:

A. The event warrants downgrade to BLUE alert if there is no longer an immediate impending threat of dam failure and water level in reservoir is lowered below the seepage / leakage zone level in the dam. The action shall be confirmed by the Dam Safety Organisation’s staff.

B. The event remains at the current Event Level (No change in situation)

C. Event may be Terminated only when:
   - The dam has failed AND there is no longer a threat to the downstream public.

All contracts on Notification Flowchart shall be updated of changes.

Based on this evaluation, follow the appropriate action:

<table>
<thead>
<tr>
<th>G. EVENT LEVEL DOWNGRADE</th>
<th>H. EVENT / LEVEL REMAINS THE SAME</th>
<th>I. TERMINATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor conditions until damage is repaired</td>
<td>Continue recommended action on this sheet</td>
<td>Go to Termination and Follow-up</td>
</tr>
</tbody>
</table>
**Event Description:**
Security Threat / Sabotage / Vandalism. Unverified bomb threat or verified damage to the dam / appurtenances with no impacts in the functioning of the dam

**Recommended Actions**

<table>
<thead>
<tr>
<th><strong>Emergency Planning Manager</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Implement the “Watch Condition Notification Flowchart” using pre-scripted message.</td>
<td></td>
</tr>
<tr>
<td>B. Notify Local Law enforcement authorities to help evaluate the situation.</td>
<td></td>
</tr>
<tr>
<td>C. Make careful observation and inspection of every part of the dam. This should be done without compromising the safety of anyone performing these tasks.</td>
<td></td>
</tr>
<tr>
<td>D. Record all information, observations and actions on an Event Log Form (<a href="#">Form 1</a>).</td>
<td></td>
</tr>
<tr>
<td>E. Contact the Superintending Engineer / Chief Engineer at least daily to report the observations and conditions.</td>
<td></td>
</tr>
<tr>
<td>F. If inspection has determined a potentially dangerous situation, go to the re-evaluation / decision section below and follow relevant steps immediately.</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Site Engineers</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>G. Access the dam only if area has been cleared by Law Enforcement (in case of bomb threat).</td>
<td></td>
</tr>
<tr>
<td>H. Observe conditions in site periodically and provide decision support as appropriate.</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Superintending Engineer / Chief Engineer</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>I. Review all pertinent information in order to recommend appropriate actions to the Emergency Planning Manager in conjunction with Dam Safety Organisation’s staff. Provide oversight to corrective actions or works as required. Observe conditions in site periodically and provide decision support as appropriate.</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Dam Safety Organisation’s Staff</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>J. Provide decision support and technical support to the Emergency Planning Manager as appropriate.</td>
<td></td>
</tr>
</tbody>
</table>

### RE-EVALUATION / DECISION
Evaluate conditions at least daily, or whenever conditions change significantly:

<table>
<thead>
<tr>
<th><strong>D.</strong></th>
<th><strong>E.</strong></th>
<th><strong>F.</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>The event can be terminated if the dam is determined to be stable and damages have been repaired. Local law enforcement authority has confirmed there is no threat in the dam structure and surroundings..</td>
<td>The event remains at the current Event Level. (No change in situation)</td>
<td>The event warrants escalation if inspection has determined a potentially dangerous situation</td>
</tr>
</tbody>
</table>

All contracts on Notification Flow chart shall be updated of changes

**Based on this evaluation, follow the appropriate action**

<table>
<thead>
<tr>
<th><strong>D.</strong></th>
<th><strong>E.</strong></th>
<th><strong>F.</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to <a href="#">Termination and Follow-up</a></td>
<td>Continue recommended actions on this sheet</td>
<td>Go to <a href="#">SHEET J2 (ORANGE Alert)</a></td>
</tr>
</tbody>
</table>
Event Description:
Security Threat / Sabotage / Vandalism. Verified bomb threat that if carried out, could result in damage in the dam / appurtenances that impacts the functioning of the dam. Verified damages due to vandalism that impacts the normal operation of the dam.

RECOMMENDED ACTIONS

**Emergency Planning Manager**
A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message
B. Notify Local Law Enforcement authorities to help evaluate the situation.
C. Identify the areas that would be potentially impacted by the potential failures of the damaged areas.
D. Make careful observation and inspection of every part of the dam, this should be done without compromising the safety of anyone performing these tasks.
E. Record all information, observations and actions on an Event Log Form (**Form 1**).
F. Contact the Superintending Engineer / Chief Engineer hourly to report the latest observations and conditions.
G. If inspection has determined a potentially dangerous situation, go to the re-evaluation / decision section and follow relevant steps immediately.

**Site Engineers**
H. Access the dam only if area has been cleared by Law Enforcement (in case of bomb threat).
I. If condition permit: conduct a comprehensive site inspection of the dam and appurtenant elements and prepare a report with the most important findings.
J. Provide oversight to corrective actions or work as required.

**Superintending Engineer / Chief Engineer**
K. Analyse and decide if an emergency lowering of the reservoir is required.

**Dam Safety Organisation’s Staff**
L. Provide decision support and technical support to the Emergency Planning Manager as appropriate.

**RE-EVALUATION / DECISION**
Evaluate conditions at least twice daily, or whenever conditions change significantly.

D. The event warrants downgrade to BLUE alert if water level in reservoir is lowered below bottom level of embankment / dam damaged section. Event may not be terminated until repairs are made.
E. The event remains at the current Event Level (No change in situation)
F. The event warrants escalation to RED alert if one or multiple of the following conditions have been observed: uncontrolled release of water over dam or rapidly developing flow through cracks or rapidly developing erosion through increased seepage are observed.

All contracts on Notification Flowchart shall be updated of changes.

Based on this evaluation, follow the appropriate action

<table>
<thead>
<tr>
<th>G. EVENT LEVEL DOWNGRADE</th>
<th>H. EVENT / LEVEL REMAINS THE SAME</th>
<th>I. EVENT LEVEL ESCALATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Go to SHEET J1 (Blue Alert)</td>
<td>Continue recommended action on this sheet</td>
<td>Go to SHEET J3 (RED Alert)</td>
</tr>
</tbody>
</table>
**RED ALERT**

**Event Description:**
Security Threat / Sabotage / Vandalism. Detonated bomb resulting in visible damage to the dam and appurtenances OR uncontrolled water release due to sabotage / vandalism damages.

---

**RECOMMENDED ACTIONS**

<table>
<thead>
<tr>
<th>Emergency Planning Manager</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Implement the “Failure Condition Notification Flowchart” using pre-scripted message</td>
</tr>
<tr>
<td>B. Identify the areas that would be potentially impacted by the emergency events.</td>
</tr>
<tr>
<td>C. Recommend to the District Collectors and Disaster Management Authorities IMMEDIATE EVACUATION downstream of the dam and affected areas as per Local Evacuation Plan.</td>
</tr>
<tr>
<td>D. Stay at safe distance away from the dam. The immediate concern is the safety of the downstream public</td>
</tr>
<tr>
<td>E. Record all information, observations and actions on an Event Log Form (Form 1).</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Site Engineers</th>
</tr>
</thead>
<tbody>
<tr>
<td>F. Advise Emergency Planning Manager of dangerous conditions at the dam as appropriate.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Superintending Engineer / Chief Engineer</th>
</tr>
</thead>
<tbody>
<tr>
<td>G. Provide decision support and technical support to the Emergency Planning Manager as appropriate.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Dam Safety Organisation’s Staff</th>
</tr>
</thead>
<tbody>
<tr>
<td>H. Provide decision support and technical support to the Emergency Planning Manager as appropriate.</td>
</tr>
</tbody>
</table>

---

**RE-EVALUATION / DECISION**

Evaluate the situation as events progress, or whenever conditions change. Determine whether:

<table>
<thead>
<tr>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. The event warrants downgrading to BLUE alert if there is no longer an immediate impending threat of dam failure and water level in reservoir is lowered to safe level. The action shall be confirmed by the Dam Safety Organisation’s staff and Local Law Enforcement Authority.</td>
</tr>
<tr>
<td>B. The event remains at the current Event Level (No change in situation)</td>
</tr>
<tr>
<td>C. Event may be Terminated only when:</td>
</tr>
<tr>
<td>• The dam has failed AND there is no longer a threat to the downstream public.</td>
</tr>
</tbody>
</table>

All contracts on Notification Flowchart shall be updated of changes.

---

**Based on this evaluation, follow the appropriate action**

<table>
<thead>
<tr>
<th>A. EVENT LEVEL DOWNGRADE</th>
<th>B. EVENT / LEVEL REMAINS THE SAME</th>
<th>C. TERMINATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor conditions until damage is repaired</td>
<td>Continue recommended action on this sheet</td>
<td>Go to Termination and Follow-up</td>
</tr>
</tbody>
</table>
FORM 1

UNUSUAL OR EMERGENCY EVENT LOG
(To be completed during the emergency)

Dam Name : District :

When and how was the event detected :

Weather Condition :

General description of the emergency situation :

Emergency Level determination: Made by:

<table>
<thead>
<tr>
<th>Date</th>
<th>Time</th>
<th>Action / Event Progression</th>
<th>Recorded by</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>

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Annexure 5
Supplies and Resources

The following equipment and supplies may be necessary for use during a dam emergency. Contact information for local contractors who can provide the following items during an emergency is listed below. For supplies owned by the dam owner, the dam owner's name and the specific location of the supplies have been denoted.

<table>
<thead>
<tr>
<th>Contractor Type</th>
<th>Name, Title, Phone</th>
<th>Remarks</th>
</tr>
</thead>
</table>
| Civil Works           | Name: Superintending Engineer  
Email: sespcpkd@yahoo.co.in  
Mobile No: 94473 96043  
Office No: 0491-2577425  
Services: Sand bags, earthwork, all civil works, supply of materials, Ready Mix Concrete |
|                       | Name: Executive Engineer  
Email: sespcpkd@yahoo.co.in  
Mobile No: 944460 24055  
Office No: 0491-2577425  
Services: Sand bags, earthwork, all civil works, supply of materials, Ready Mix Concrete |
|                       | Name: Executive Engineer  
Email: executiveengineermalampuzha@gmail.com  
Mobile No: 9447944309  
Office No: 0491-2815111  
Services: Sand bags, earthwork, all civil works, supply of materials, Ready Mix Concrete |
| Hydro Mechanical Works| Name: Superintending Engineer  
Email: semechekm@gmail.com  
Mobile No: 9447060226  
Office No: 0484 2424580  
Services: Mechanical Works |
|                       | Name: Executive Engineer  
Email: eemechmpza@gmail.com  
Mobile No: 9447881410  
Office No: 0491 2815141  
Services: Mechanical Works |
|                       | Name: Assistant Executive Engineer  
Email: aeemechmpza@gmail.com  
Mobile No: 93498 91097  
Office No: 0491 2815185  
Services: Mechanical Works |
| Electrical Works      | Name: Executive Engineer PWD  
Electrical Division, Thrissur  
Email: electocr.pwd@kerala.gov.in  
Mobile No: 9086395176  
Office No: 0487 2330010  
Services: Electrical works |
|                       | Name: Executive Engineer PWD  
Electrical Division, Kozhikode  
Email: eeelckkd.pwd@kerala.gov.in  
Mobile No: 8086395215  
Office No: 0495 2371857  
Services: Electrical Works |
| Instrumentation       | Name: Executive Engineer  
Email: sespcpkd@yahoo.co.in  
Mobile No: 944460 24055  
Office No: 0491-2577425  
Services: Geotechnical/Geodetic/Structural and Hydrologic Instruments, Seismic Instruments |
|                       | Name: Executive Engineer  
Email: executiveengineermalampuzha@gmail.com  
Mobile No: 9447944309  
Office No: 0491-2815111 |

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| Special works /Equipments | Name: Executive Engineer  
Email: sespcpkd@yahoo.co.in  
Mobile No: 94460 24055  
Office No: 0491-2577425 | Services: Diving & ROV services, underwater inspection, welding etc |
|--------------------------|---------------------------------------------------------------|-----------------------------------------------------------------|
| Name: Executive Engineer  
Email: executiveengineermalampuzha@gmail.com  
Mobile No: 9447944309  
Office No: 0491-2815111 |                                                              |                                                                 |
| Consultants (Hydraulic,  
Geotechnical, Structural) | Name: NIT, Calicut  
Email: civilhod@nitc.ac.in  
Mobile No: 0491-2577425  
Office No: 0491-2815111 | Services: Geotechnical / Structural / Hydraulic/Hydrology Consultancy |
| Name: College of Engineering, Trivandrum  
Email: itcsr@cet.ac.in  
Mobile No: 04712515572  
Office No: 04712515572 |                                                              |                                                                 |
| Name: Central Water & Power Research Station (CWPRS), Pune  
Email:  
Mobile No: 04712515572  
Office No: 04712515572 |                                                              |                                                                 |
| Name: Central Soil and Material Research Station (CSMRS), Delhi  
Email:  
Mobile No: 04712515572  
Office No: 04712515572 |                                                              |                                                                 |
| Communications (Warning Systems, CCTV, Wireless Communication) | Name: Executive Engineer  
Electronics Division, PWD, Thrissur  
Email: eeelecstr.pwd@kerala.gov.in  
| Name: Executive Engineer  
Electronics Division, PWD, Trivandrum  
Email: eeelecs.pwd@kerala.gov.in  
Office No: 0487 2327290 |                                                              |                                                                 |
Annexure 6
Sample Public Announcements

Note: These messages are communicated to downstream residents to alert the public of impending danger. The Irrigation Department should coordinate with the India Meteorological Department, the Kerala State Disaster Management Authority, and the District Magistrates/Collectors for Thiruvananthapuram District prior to release. Messages can be communicated via radio, television, bulk SMSs of local mobile networks, and other media outlets.

Announcement for a Slowly Developing "Watch" Condition (BLUE Emergency Level)
Executive Engineer, Irrigation Division, Malampuzha has declared a BLUE Level "Watch" condition for Pothundy Dam, Project Identification Code KL07MH0049 as of [time and date]. [Briefly describe the problem or condition.] Although there is no immediate danger of the dam failing, [Describe what actions are being taken to monitor and control the situation.] [State the quantity of any releases from the reservoir.]

Announcement for a Worsening "Watch" Condition (BLUE Emergency Level)
Executive Engineer, Irrigation Division, Malampuzha has declared a BLUE Level "Watch" condition for Pothundy Dam, Project Identification Code KL07MH0049 as of [time and date]. [Briefly describe the problem or condition.] Although there is no immediate danger of the dam failing a possibility now exists that the dam will fail if correction efforts are unsuccessful. Describe what actions are being taken to monitor and correct the situation.] [State the quantity of any releases from the reservoir]. Additional news will be made available as soon as it is received.

Announcement for a Probable "Failure" Condition (ORANGE Emergency Level)
Urgent! This is an emergency message. Executive Engineer, Irrigation Division, Malampuzha has announced that Pothundy Dam, Project Identification Code KL07MH0049 is probably going to fail. [Describe what actions are being taken to monitor and control the situation.] It is possible that the dam will fail in [##] hours. Residents in low lying areas along the [Stream], the [Stream], and the [Stream], as well as the town of [Name], should prepare for immediate evacuation. Additional news will be made available as soon as it is received.

Announcement of an Impending "Failure" Condition (RED Emergency Level)
Emergency! This is an emergency message. Pothundy Dam, Project Identification Code KL07MH0049 is going to fail at any moment. Residents who have not yet done so should immediately evacuate the city of [Name] and low-lying areas along the [Stream], the [Stream], and the [Stream]. The flood waters have already reached [Highway] and [Road]. Additional news will be made available as soon as it is received.

Announcement of an Ongoing "Failure" Condition (RED Emergency Level)
Emergency! This is an emergency message. Pothundy Dam, Project Identification Code KL07MH0049 failed at [time and date]. Residents who have not yet done so should immediately evacuate the city of [Name] and low-lying areas along the [Stream], the [Stream], and the [Stream]. The flood waters have already reached [Highway] and [Road]. Additional news will be made available as soon as it is received.
Annexure – 7

Dam Description

Official Dam Name (1): Pothundy Dam
Name of Stream: Ayilur (Tributary of Bharathapuzha)
Dam Location: 8 km from Nenmara Town along the Nenmara – Neliyampathy Road
Latitude / Longitude: 10°32'5.0"N/ 76°36.5’ E
Seismic Zone: III Year of Starting of Dam Construction: 1958
Year of First Impoundment: 1967 Year of Commissioning of Dam Project: 1967
Name of Immediate Upstream Dam: Nil
Name of Immediate Downstream Dam: Nil
Dam Owner (2): Irrigation Department, Kerala (Executive Engineer) Phone Number:
Dam Owner’s Address: Irrigation Division, Malampuzha, Palakkad, Kerala

Embankment
Type: Homogenous Earth Dam
Year Constructed: 1967
Length: 1680 m
Maximum Height: 32.61 m
Top Width: 7.32 m
Top of Embankment Elevation: 110.642 m
Drainage Area:

Main Spillway (3)
Type: Ogee Crest
Location: Right Flank of Dam
Crest Length: 40 m
Crest Elevation: 104.09 m
Capacity: 682.44 Cumecs

Emergency Spillway
Type: -
Location: -
Crest Length: -
Crest Elevation: -
Capacity: -

Inlet-Outlet Works
Type: Sluice
Location:
Invert Elevation (Inlet):
Invert Elevation (Outlet):
Capacity:

Reservoir
Elev. Top of Conservation Pool: 108.204 meters
Capacity Conservation Pool (Normal Pool): 43.9 millions of cubic meters
Capacity at Top of Dam (Maximum): 50.914 millions of cubic meters
Surface Area: 2.75 square kilometers

(1) If the dam is known by more than one name, it is recommended that all names be listed (that is, the official name appearing in the National Register of Large Dams, and other names by which the dam is commonly known.)
(2) Also give details of Dam Operator, if different from the Dam Owner.
(3) If the dam has multiple spillways, create additional subsections as necessary to include information on all spillways.

**Annexure 8**

**Annual EAP Evaluation Checklist**

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
<th>If yes, has the EAP been revised to include any signs of failures observed during the inspection?</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Was the annual dam inspection conducted?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Was weed clearing, animal burrow removal, or other maintenance required?</td>
<td></td>
<td></td>
<td>If yes, describe actions taken and date:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Was the outlet gate operable?</td>
<td></td>
<td></td>
<td>If no, describe actions taken and date:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Do the Notification Flowcharts require revision?</td>
<td></td>
<td></td>
<td>If yes, list the dates of the contact information revision and redistribution:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Was annual training or a tabletop drill conducted?</td>
<td></td>
<td></td>
<td>Circle: training drill Date conducted:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are inspection and training records included in the EAP?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Was the EAP reviewed?</td>
<td></td>
<td></td>
<td>If yes, review date:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Were changes required to the EAP?</td>
<td></td>
<td></td>
<td>If yes, date of revised EAP approval:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Annexure 9

Plan Review and Update

This plan will be reviewed and updated annually and tabletop drills will be carried out at least once every five years. Document these reviews below.

Date of review: ___________________________ Participants: ___________________________

Date of review: ___________________________ Participants: ___________________________

Date of review: ___________________________ Participants: ___________________________

Date of review: ___________________________ Participants: ___________________________

Date of tabletop drill: ____________________ Participants: ___________________________
Annexure 10
Training Record

Use this form to record training sessions. File the completed form in the appropriate Tab of the EAP. All items in the EAP should be thoroughly reviewed during training. Appropriate [Dam Owner] employees and EAP team members should attend a training session annually (or participate in a simulated drill).

<table>
<thead>
<tr>
<th>TRAINING LOCATION:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>DATE:</th>
<th>TIME:</th>
<th>INSTRUCTOR:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>CLASS SIGN-IN:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Type of Simulation Conducted:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Circle Emergency Type:</td>
</tr>
<tr>
<td>Emergency water release Watch condition Possible dam failure Imminent dam failure Actual dam failure</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Comments, Results of Drill:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Revisions Needed to EAP Based on Results of Drill?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes □ No □</td>
</tr>
</tbody>
</table>
## Annexure – 11

### List of Officials for Communication

<table>
<thead>
<tr>
<th>Authority</th>
<th>Name, Title, Phone</th>
<th>Address</th>
</tr>
</thead>
</table>
| District Collector, Palakkad | District Collector  
Mobile No: 8547610100  
Office No:0491-2505266 | Office of the District Collector Civil Station  
Kenathuparambu, Kunathurmedu,  
Palakkad, Kerala 678013  
Email-dcpkd@kerala.nic.in |
| State Emergency Operation Center, Kerala Disaster Management Authority | Member Secretary  
Email : keralasdma@gmail.com  
Mobile No:9400202927  
Office No:0471-2331345 | Observatory Hills Museum, Vikas Bhavan  
P O Thiruvananthapuram, Kerala 695033 |
| District Police Chief, Palakkad | District Police Chief, Palakkad  
Mobile No: 9497996977  
Office No: 0491-2534011 | Office of the District Police Chief,  
Palakkad  
Pudupalli Theruvu, Nurani, Palakkad  
Email: spplkd.pol@keral.gov.in |
| Deputy Collector Palakkad | Deputy Collector (General & ADM)  
Mobile No: 9447735010  
Office No:0491 2505008 | Collectorate  
Palakkad District |
| Tahsildhar, Palakkad | Contact: Tahsildar, Palakkad  
Ph No: 0491-2505770  
Mobile : 944735012 | Taluk Office, Palakkad  
Email: tahrpkd.rev@kerala.gov.in |
| Tahsildhar, Chittur | Contact: Tahsildar, Chittur  
Mobile No: 8547610099  
Office No:0492-3224740 | Taluk Office, Chittur |
| Fire & Rescue Service | Fire and Rescue Chief Palakkad  
Phone No: 0491-2505701 | Fire and Rescue services  
Civil Station (P.O) Palakkad  
Email: dopkd.frs@kerala.gov.in |
| District Health Officers, Palakkad | The District Medical Officer  
Palakkad ( Health)  
Ph No: 0491-2505264  
Mobile: 9946105487 | 2 nd floor , Civil Station, Palakkad |
| Inspector of Police, Nenmara | Inspector of Police  
Mobile No: 9497987155  
Office No:04923-243399 | Police station, Nenmara |